Activity of Neurons in Monkey Globus Pallidus During Oculomotor Behavior Compared With That in Substantia Nigra Pars Reticulata

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INTRODUCTION

The basal ganglia are important for the control of movements (DeLong 1981; Hikosaka and Wurtz 1989; Hikosaka et al. 2000; Mink 1996). Many nuclei comprise the basal ganglia, including the striatum (caudate and putamen), the globus pallidus externa (GPe), the globus pallidus interna (GPI), and the substantia nigra pars reticulata (SNR). The basic layout is that the striatum receives inputs from cerebral cortex, signals are processed through various pathways, and signals exit through the GPI and SNR (Graybiel and Ragsdale Jr 1979; Niijima and Yoshida 1982). A direct pathway from caudate to SNR contributes to saccadic eye movements (Fig. 1A, blue line at right) (Handel and Glimcher 1999, 2000; Hikosaka and Wurtz 1983a,b,c,d, 1985; Hikosaka et al. 1989a,b, 2000). A second direct pathway runs from putamen to GPI (Fig. 1A, blue line at left) and indirect pathways course through the GPe (Fig. 1A, orange lines). The SNR, GPI, and GPe are similar in having high spontaneous firing rates and inhibitory influences. We ventured beyond the classic caudate–SNR pathway to systematically examine the activity of GPe and GPI neurons during oculomotor behavior. Our main goal was to determine whether the firing rates of GPe and GPI neurons are modulated in saccadic tasks and, if they are, to quantitatively characterize the modulation. We predicted that the activity of some neurons in GPI is related to oculomotor behavior because deep brain stimulation and pallidotomy in human GPI are known to affect eye movements (Blekher et al. 2000; Fawcett et al. 2005; O’Sullivan et al. 2003; Straube et al. 1998). In addition to these clinical reports, recent laboratory studies implicate pallidal neurons in oculomotor behavior. Kato and Hikosaka (1995) described saccade-related activity in GPe during a combined hand–eye task. Pharmacological manipulation of indirect pathways influence saccadic tasks (Nakamura and Hikosaka 2006). Hong and Hikosaka (2008) found that GPI is a source of reward-related signals to the lateral habenula during a reward-biased oculomotor task. A recent study reported that some pallidal neurons have activity around the time of a saccade that is enhanced when monkeys look away from a visual target as opposed to toward a target (Yoshida and Tanaka 2009a).

The previous reports of GPe and GPI neurons analyzed specific types of signals, but there has been no general assessment of the signal content carried by pallidal neurons in oculomotor tasks. Nor has there been any direct comparison of pallidal neurons with SNR neurons. We hypothesized that the activity of neurons in GPe and GPI exhibit multiple types of activity during oculomotor behavior. As found previously in the SNR, this activity could represent a spectrum of events such as detection of a visual stimulus, memory of it during a delay period, saccade generation toward it (Handel and Glimcher 2000; Hikosaka and Wurtz 1983a,c), and delivery of reward. We recorded from GPe and GPI neurons, mapped their response fields, and analyzed their patterns of activity while monkeys made memory-guided saccades to the response field centers. In contrast to previous methods that used fixed-target eccentricities (e.g., Hong and Hikosaka 2008; Kato and Hikosaka 1995; Yoshida and Tanaka 2009a), the approach that we adopted—tailoring the target location to each neuron’s response field center—is more time consuming but should optimize the analysis of neuronal signals. We recorded from SNR neurons in the same monkeys using the same tasks. The results provide the first quantitative assessment of the diversity and spatial representations of visual, delay, saccade, and reward-related signals in GPe and GPI, in the context of direct comparisons with SNR.
for more details of this iterative procedure. By alternating between these direction and amplitude tests and fine-tuning the target locations accordingly, we were able to find the “hot spot” of the neuron’s response field in a time-efficient manner.

After determining a neuron’s best direction and amplitude, we ran the monkey on a memory-guided saccade task with two targets, one at the response field center and one at the diametrically opposite location. We typically collected 10–20 trials at each location, but sometimes up to 40 trials if a neuron’s isolation was exceptionally stable. Trials in the diametrically opposite location were run (but not analyzed) to maintain spatial symmetry, thus encouraging the monkey from making anticipatory saccades and precluding the possibility of causing inadvertent biases to the natural response field lateralities. We focused on the memory-guided saccade task because it permits temporal separation of visual-, delay-, and saccade-related activity (Hikosaka and Wurtz 1983c; Mays and Sparks 1980) and it was used in many previous studies of the SNr (e.g., Basso and Liu 2007; Handel and Glimcher 2000; Hikosaka and Wurtz 1983c). A monkey fixated a spot for a random duration of 500–800 ms, a target appeared in the center of the response field for 50 ms, a delay period of 500–1,000 ms ensued, and then the fixation spot disappeared, which was the cue to move. After making the saccade to the location of the (absent) target, the monkey had to fixate the target location for 200 ms. Then the target reappeared and 300 ms later, a reward was delivered. The electronic window for verifying fixations on-line was 3° square. The window around the target location for verifying a correct saccade on-line was adjusted by the investigator as a function of eccentricity (larger windows for larger eccentricities). For 15° eccentricities, as an example, the window was typically 4° horizontal × 6° vertical. It was larger in the vertical dimension because of normal upshifts in making memory-guided saccades (White et al. 1994). Regardless of these on-line windows, we always inspected every trial off-line and omitted trials from analysis if a fixation or saccade endpoint fell outside the main cluster of eye positions.

Recording procedure

GPe and GPi neurons were identified by their anatomical location relative to landmarks such as the putamen, internal capsule, lateral
geniculate nucleus, and third cranial (oculomotor) nerve. Physiological properties of the neurons such as action potential shapes and streaks of intermittent pauses that are common in GPs provided further confirmation (DeLong 1971). We sometimes encountered both GPe and GPi neurons on single penetrations (Fig. 1B). Other days, we encountered a few millimeters of the GPe but could not enter GPi because we were near the caudal boundary of GPe (and GPe is broader than GPi). The top of GPe was easily identifiable due to the sudden increase in spontaneous firing rate at the putamen/GPe border (DeLong 1971). The GPe/GPi border was not always obvious because neurons in both structures have high firing rates and there is only a thin laminar border between them. Therefore after recording all of the neurons, but before quantitatively analyzing their signals, we applied a stringent depth criterion: for a neuron to be classified as GPe it had to be located >2.5–3 mm below the top of GPe. According to atlases (Martin et al. 1996; Paxinos et al. 2000) and previous studies (Anderson and Turner 1991; Elias et al. 2007), only GPi neurons are found that deep. The criterion varied from 2.5 to 3 mm due to gradual changes in the GPe/GPi border with AP location. Task-modulated neurons were clustered within a volume of a few cubic millimeters, accessible by three to four adjacent grid holes each separated by 1 mm (Crist Instruments, Hagerstown, MD), and were found at the posterior and mediodorsal portion of GPe and GPi based on the landmarks noted earlier and MRI. The distribution of recording depths of GPe and GPi neurons is shown in Supplemental Fig. S1A.1 As a post hoc test of the GPe/GPi categorization, after analyzing all of the neuronal signals we examined how they varied with depth through the pallidum (Supplemental Fig. S1B, using the Reward-Visual Index analysis described in the following text). We found a sudden change in signal content at the estimated GPe/GPi boundary, implying that few, if any, of the GPe or GPi neurons were misclassified.

We recorded from the SNr from the same chamber in all three monkeys (Fig. 1C). Finding the SNr allowed us to be certain that we were not accidentally including SNr neurons in our pallidal samples and recording from its neurons provided a firm basis of comparison with pallidal neurons (rather than just comparing our pallidal neurons with prior descriptions of SNr neurons in the literature). SNr neurons were identified by their high baseline firing rate (50–90 spikes/s) (Hikosaka and Wurtz 1983a) and their proximity to nearby landmarks, including the internal capsule, subthalamic nucleus, zona incerta, oculomotor nucleus, and rostral interstitial nucleus of the medial longitudinal fasciculus. Relative to the GPi, the SNr was 4–5 mm more posterior, about 4 mm deeper, and on the other side of (medial to) the easily identifiable internal capsule.

In all structures, the activity of single neurons was recorded extracellularly with parylene-insulated tungsten electrodes (FHC, Bowdoinham, ME). Action potentials were amplified and isolated using time and amplitude criteria on a digital oscilloscope. Time stamps of action potentials were stored in data files. Visual stimuli were back-projected using an LCD projector onto a tangent screen 58 cm in front of the monkey. Eye-position data were collected at 1-ms resolution. Data collection and the behavioral paradigm were under the control of the REX real-time system (Hays et al. 1982).

Data analysis

To determine the signals carried by the neurons, we measured average firing rates in five epochs of the memory-guided saccade task: a baseline epoch 0 to 100 ms before target onset, a visual epoch 50 to 150 ms after target onset, a delay epoch 0 to 100 ms before the cue to move, a saccade epoch 50 ms before to 50 ms after saccade initiation, and a reward epoch 0 to 100 ms before reward onset. We ran an ANOVA on the firing rates across the five epochs and, if this was significant at $P < 0.01$, the neuron was considered to be task-modulated. We used a parametric ANOVA if the firing rate distributions passed a test of normality and equal variance, or a nonparametric one otherwise (Kruskal–Wallis one-way ANOVA on ranks). For neurons with significant ANOVA results, we performed an all–pairwise multiple-comparison test (Student–Newman–Keuls or Dunn’s at $P < 0.05$) to compare average firing rates between the various epochs. A neuron had a visual-related signal if its firing rate in the visual epoch differed from that in the baseline epoch, a delay-related signal if its firing rate in the delay epoch differed from that in the baseline epoch, a saccade-related signal if its firing rate in the saccade epoch differed from that in both the delay and baseline epochs, and a reward-related signal if the firing rate in the reward epoch differed from that in both the baseline and saccadic epochs. The test for saccade-related signals involved a double comparison (vs. both the delay and baseline epochs) to make sure that apparent saccadic activity was neither an extension of delay activity nor a simple return to baseline activity. The analyses typically involved 10 trials, but ranged from a minimum of 7 to a maximum of 40 trials.

On a closed-circuit infrared camera system we watched the monkeys carefully for other types of body movements that might modulate neuronal activity, such as orofacial and limb movements. The animals made occasional postural adjustments, limb movements, and facial movements such as blinking and licking. If we determined that a neuron was modulated by such movements we excluded it from further analyses. We routinely gave the animals free drops of liquid reward to see whether this evoked licking, somatosensory, or other nontask-related modulations in activity, and excluded such neurons, but we were not set up to quantitatively assess such fine details. Thus although we could detect reward-related signals, and we think that most were not due to trivial reasons such as licking, we remain cautious in interpreting them.

We analyzed how signals varied as a function of depth through the pallidum using an index that quantified the relative amounts of visual- and reward-related activity in single neurons. The ratio of these activity types, as described in RESULTS, was the main difference in signal content between GPe and GPi. The Reward-Visual Index (RVI) was the contrast ratio of the visual and reward activity, with each activity level measured relative to baseline and absolute-value-to-absolute value increases and decreases identically: $\text{RVI} = (\text{reward-baseline} - \text{visual-baseline}) / (\text{reward-baseline} + \text{visual-baseline})$. If $\text{RVI} = 0$, a neuron had equivalent reward and visual modulations; if RVI was between 0 and 1, a neuron had stronger reward than visual modulations; and if RVI was between 0 and −1, a neuron had stronger visual than reward modulations.

We determined the spatial tuning curves of neurons for which we obtained memory-guided task data in all eight directions at the optimal amplitude. We routinely collected 10 trials of data at each target direction. Since the major signals of neurons were visual-, saccade-, and reward-related (delay-related activity was relatively rare), we focused on those three signals. They were measured as average firing rates in the epochs described earlier. First, to determine whether the signals varied as a function of direction, for each signal type we ran an ANOVA on firing rates in the eight directions ($P < 0.05$ significance level). An insignificant ANOVA suggested that the neuron was omnidirectionally tuned. To characterize the tuning at a finer scale that considered modulations at each direction individually, we also compared the firing rates with baseline activity on a direction-by-direction basis. The baseline epoch preceded target onset and therefore was not influenced by target direction; thus when analyzing spatial tuning plots we used a single baseline firing rate derived by averaging baseline data from all eight directions. We constructed separate polar plots of spatial tuning for each type of signal conveyed by a neuron. Relative to baseline, a neuron could have a significant change in activity in one or more (sometimes all) directions ($t$-test, $P < 0.05$, Bonferroni corrected for multiple comparisons against the baseline activity). Small numbers of significant directions indicated sharp tuning, large numbers broad tuning.

In addition to analyzing spatial characteristics of the signals we also analyzed their timing, specifically the point at which they started during

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1 The online version of this article contains supplemental data.

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We determined onset latencies of each signal type in the following manner (see Sommer and Wurtz 2004 for details). First, we generated spike density functions \((/H9268/10 \text{ ms})\) aligned to events of interest such as visual stimulus onset. The mean and SD of the baseline activity provided the threshold for determining the time at which event-related modulations became significant (threshold was twice the SD of baseline). This crossing time was the onset latency. If the neuronal signal was an increase in activity, the firing rate had to exceed threshold (2SDs above baseline); if the signal was a pause in activity, the firing rate had to drop below threshold (2SDs below baseline).

RESULTS

Task-related signals carried by pallidal neurons

We recorded from 320 GPe neurons in three monkeys and 101 GPi neurons in two monkeys. Overall, 34\% of the neurons \((n = 143)\) were modulated significantly in the memory-guided saccade task (38\% of GPe neurons, \(n = 121\); 22\% of GPi neurons, \(n = 22\)).

Examples of single-neuron activity in GPe and GPi during the memory-guided saccade task are shown in Figs. 2 and 3, respectively. We found a wide variety of activity patterns. The neurons typically had high spontaneous activity (means and SEs: 78.9 \(\pm\) 28.6 spikes/s for GPe; 76.3 \(\pm\) 23.2 spikes/s for GPi). Relative to these baseline firing rates, GPe and GPi neurons could show increases or decreases in activity in association with events such as visual stimulation (Figs. 2, A and B and 3A), delay (Figs. 2C and 3D), saccade generation (Figs. 2B and 3B and D), and reward (Figs. 2C and 3C). As can be seen in Figs. 2 and 3, single neurons could carry one signal or any combination of signals (quantified in the following text). Aside from these signals of interest, there were rare, miscellaneous signals that we did not quantify such as phasic visual responses to target reappearance (Fig. 3A) and apparent auditory responses to reward solenoid clicks (Fig. 2B).

Due to the wide variety of signals, pooling the data to show population firing rates tended to obscure the modulations (Supplemental Fig. S2A). It was evident, however, that for both GPe and GPi, neurons showed increases or decreases in activity in association with events such as visual stimulation (Figs. 2, A and B and 3A), delay (Figs. 2C and 3D), saccade generation (Figs. 2B and 3B and D), and reward (Figs. 2C and 3C). As can be seen in Figs. 2 and 3, single neurons could carry one signal or any combination of signals (quantified in the following text). Aside from these signals of interest, there were rare, miscellaneous signals that we did not quantify such as phasic visual responses to target reappearance (Fig. 3A) and apparent auditory responses to reward solenoid clicks (Fig. 2B).
activity started to modulate around 70–100 ms before saccade onset and reward-related activity around 200 ms before reward delivery. We found no significant differences in latency (t-test, \( P > 0.05 \)) as a function of area (e.g., GPe saccade vs. GPi saccade) or valence of activity (e.g., GPe saccade increase vs. GPi saccade decrease).

Our quantitative analysis of the full diversity of pallidal signals is summarized in Fig. 4. The overall distributions of signal types were significantly different between the GPe and GPi samples (Fig. 4A; \( 4 \times 2 \) Exact Contingency Table test, \( P < 0.001 \)). Visual responses were far more common in GPe (44%, or 53/121 task-related neurons) than in GPi (5%, 1/22 task-related neurons). In contrast, reward-related signals were more common in GPi than in GPe (75 vs. 53% of task-related neurons). Saccade-related signals were found in about a quarter more common in GPi than in GPe (73 vs. 53% of task-related neurons). In contrast, reward-related signals were overwhelmingly more common in GPe (68% of its signals) than in SNr (33% of its signals). In turn this meant that the distributions of signal types were different between the two structures (4 \( \times 4 \) Exact Contingency Table test, \( P < 0.001 \)). Our finding that SNr neurons are more similar to GPe than GPi neurons is consistent with anatomy, in that the SNr receives projections from GPe but is relatively isolated from GPi (recall Fig. 1A). The inhibitory nature of the GPe→SNr projection leads to a hypothesis that there may be a sign reversal in signals from GPe to SNr. To test this, we compared the total numbers of increasing versus decreasing signal types in GPe (Fig. 4A, left) and SNr (Fig. 6A). We found that increasing modulations were more common in GPe (68% of its signals) than in SNr (33% of its signals). In turn this meant that decreasing modulations were more common in SNr than in GPe (67 vs. 32% of signals, respectively). These differences were significantly different (chi-square test, \( P < 0.0001 \)), supporting the hypothesis that excitatory signals in GPe may contribute, via the known inhibitory projection, to pauses in the SNr.

### Tuning of response fields

Next we analyzed the ranges of target directions and amplitudes that could evoke task-related modulations, i.e., the response fields of the neurons. As described in METHODS, our iterative mapping procedure determined the “hot spot”—optimal direction and amplitude—for each neuron individually. Overall (GPe and GPi neurons pooled), we found that the amplitudes of the response field centers ranged from 2 to 64° with an average of 18° and SD of 12°. These amplitudes were comparable in GPe (range: 5–64°; mean: 18°; SD: 11°) and GPi (range: 2–60°; mean: 20°; SD: 13°). Using the optimal amplitude for each neuron, we evaluated the directional tuning using a set of eight directions (cardinal axes and diagonals). We found that the response field shapes were biased toward one hemifield—i.e., lateralized—in the majority of neurons (77% of task-modulated GPe neurons, 95/123; 52% of task-modulated GPi neurons, 11/21). For those neurons, contralateral response fields were the most common (75% of lateralized fields for GPe; 64% for GPi). For 15% of task-modulated GPe
and 43% of task-modulated GPi neurons, the response field was not lateralized but extended into both the contralateral and ipsilateral fields (i.e., bilateral). The remaining neurons had response fields aligned vertically (8% of GPe and 5% GPi neurons).

For the GPe and GPi task-modulated neurons that were fully tested on memory-guided saccades in eight directions (at each neuron’s optimal amplitude), we analyzed the details of receptive field directional tuning. Figure 7 shows example tuning curves of neurons in GPe and GPi and, for comparison, SNr. The rows show data from each anatomical structure and the columns show examples of the tuning for each signal type (the data in each panel were selected to show typical response fields and were not necessarily from the same neuron). In all tuning plots, baseline activity of the neuron is shown with gray curves. As reported earlier, signal modulations could be above or below baseline. Sharpness of tuning varied from neuron to neuron. Some neurons showed fairly sharp tuning with significant modulations for only one to three directions (e.g., the GPe Visual plot). Others had broad or even omnidirectional tuning spanning all eight directions (e.g., the GPe Reward plot). The significantly modulated directions were generally contiguous; in our entire experiment, we found only two neurons with distinctly separated lobes pointing in different directions.

The GPe neurons provided the largest data set with regard to tuning curves (n = 89) and they carried all possible signal types, so we concentrated on them for analyzing population tuning data (Fig. 8). The top row (Fig. 8, A–C) shows averaged tuning curves for GPe neurons with increasing types of signals; the
bottom row (Fig. 8, D–F) shows curves for the neurons with decreasing types of signals. Before we constructed the average plots, we normalized the firing rates for each neuron relative to its baseline (thus the average baseline curves, gray, are equal to one). We did not perform any other normalizations; specifically, the directions are veridical (as in Fig. 7, contralateral is to the left and ipsilateral to the right). Visual, saccadic, and reward response fields are separated by columns. We found that the increasing visual responses of GPe neurons were significantly biased contralaterally on average (ANOVA, \( P < 0.001 \), Fig. 8A). This was also true for most of the individual neurons (30/33, 91%). Most neurons with decreasing visual responses also showed a contralateralized bias (8/9, 89%). In the average tuning curve of those neurons (Fig. 8D) this bias was not significant by ANOVA (\( P > 0.05 \)), but it was significant in two contralateral directions by \( t \)-test (asterisks).

In each panel of Fig. 8, the top right insets illustrate the individual tuning vectors for each neuron that contributed to the average plot. The bottom right insets show the number of significant directions for the individual neurons. Most of the GPe visual neurons (increasing and decreasing types, Fig. 8, A and D) had relatively sharp tuning, with up to three directions that were different from baseline, and none had omnidirectional tuning (i.e., eight modulated directions).

Compared with those visual signals, the average tuning of saccadic signals for both increasing and decreasing types (Fig. 8, B and E) showed no significance by ANOVA and weaker contralateral biases according to the direction-by-direction \( t \)-test (Fig. 8, B and E, asterisks). At the individual neuron level, significant lateralizations were found in 10/15 neurons (67%) with increasing signals and in 3/8 neurons (38%) with decreasing signals. There was more of a tendency for broad tuning in saccadic signals than that seen for visual signals (bottom insets); a couple of neurons even had omnidirectional saccadic tuning.

Reward-related signals (Fig. 8, C and F) were even more uniformly tuned across space. The average tuning plots were not significantly biased (by ANOVA), but activity in all eight directions was significantly greater than (Fig. 8C) or less than (Fig. 8F) baseline activity (by \( t \)-test). The population omnidirectionality was due in part to tunings that could point in any direction for individual neurons (top right insets), but even those individual neurons had broad tunings with many occurrences of omnidirectionality (bottom right insets). Only a few individual neurons showed a significant directional bias (in-
creasing type: 10/35, 29%; decreasing type: 1/13, 8%). The general conclusion from Fig. 8 is that the spatial tuning of GPe neurons became less contralateralized and broader as the events in a trial proceeded from visual to saccade to reward.

GPi task-modulated neurons were also analyzed, but we were unable to collect full data on the tuning curves for most of those neurons, so the data are sparse (n = 17). We show only the histograms of number of modulated directions (Fig. 9). A tendency for broader tuning as trials progressed seemed to be present in our GPi sample.

For comparison, SNr neurons were analyzed in the same way (n = 36). On average, visual responses of SNr neurons were lateralized (ANOVAs: P = 0.01 for increasing signals and P = 0.002 for decreasing signals; Fig. 10, A and C). These biases in the population were strongly contralateral (asterisks in Fig. 10, A and C) due to underlying strong contralateral biases of individual neurons (top right insets). In those individual neurons, significant lateralized biases were found in 80% (8/10) of the increasing type and 75% (15/20) of the decreasing type. Saccade- and reward-related signals in our SNr neurons, however, did not show biased laterality (ANOVA, P > 0.05).

As noted earlier, there seemed to be a broadening of response fields during a trial. To further analyze this, we quantitatively analyzed the shapes of the response fields for the GPe population. First, we considered the neurons with increasing

**Fig. 7.** Response field tuning curves from example neurons. *Rows* show data from neurons recorded in GPe (top, orange), GPi (middle, blue), and SNr (bottom, purple). *Columns* show tuning curves of the neurons’ visual (left), saccade (middle), and reward (right) related signals. Firing rates during the respective signal epochs were plotted for the 8 target directions and connected by lines (means) and shaded areas (±SEs). Gray lines show the baseline firing rates (mean ± SE) for the neurons. The concentric circles depict 50 spikes/s increments, with peak of the scale shown near the top right of each graph. Relative to the recorded neurons, contralateral (contra) is to the left, ipsilateral (ipsi) to the right. ANOVA results are shown near the bottom right of each graph and individually significant directions by t-test (P < 0.05, Bonferroni corrected) are labeled with asterisks. Individual neurons’ identification numbers are shown at the bottom left of each graph.

**Fig. 8.** Average response field tuning curves for the population of GPe neurons. *Rows* show data from neurons with increasing (top) or decreasing (bottom) signals relative to baseline. *Columns* show data from the visual (left), saccade (middle), and reward (right) related signals. A-F: in each panel, the average tuning curve (mean ± SE) of the sample of GPe neurons having the specific category of signal is shown at left. These average tuning curves were from normalized data in which each individual neuron’s curve was scaled relative to its baseline firing rate (thus all baseline mean data = 1 in these graphs). Smaller polar plots in top right insets represent the tuning curve average vectors (straight black lines) for each neuron that contributed to the average tuning curve (black lines). Histograms in bottom right insets show the number of significantly modulated directions found by t-test, which represents the sharpness of tuning (small numbers = relatively narrow tuning; large numbers = relatively broad tuning). Other conventions as in Fig. 7. For more details, see text.
types of signals (Fig. 11). The method was described in detail elsewhere (Crapse and Sommer 2009). We normalized each individual response field to its maximal firing rate (Fig. 11A), which was set to one, and rotated the normalized curves so that the direction of maximal firing rate was pointing to the left (Fig. 11B). With all of the tuning curves superimposed in this way, the firing rates and tuning directions were removed as factors, thus revealing their shapes. We computed average shapes from these individual curves (Fig. 11C, mean \( \pm \) SE). Qualitatively, the shapes changed as we suspected from the previous analyses, becoming broader (enclosing more area) from visual (left) to saccadic (middle) to reward activity (right). This effect was quantified by calculating the areas within the tuning curves.

The area calculations were performed on the normalized tuning plots for each signal (from Fig. 11, A or B). Using this absolute area value, the relative area was calculated as a percentage of the absolute area to the maximum possible area (i.e., the area of an equilateral octagon of radius one). This transformed the area data into a range for which 0% = narrowest possible tuning and 100% = broadest possible tuning. The distributions of relative areas are plotted in histograms in Fig. 11D. Although the relative areas of the saccadic tuning plots (mean 51%) tended to be broader than the relative areas of the visual tuning plots (mean 46%), the two were not significantly different from each other. However, both were significantly less than the relative areas of the reward tuning plots (mean 63%, t-test).

Similar analyses were performed on decreasing types of signals (Fig. 12), except that the individual neuron firing rates were normalized to the trough of the tuning curve, which was set to 0.2 (innermost of the concentric circles). Relative areas of each tuning plot were calculated by dividing the absolute area values by the minimum area (i.e., equilateral octagon with radius 0.2). This value was always \( \leq 1 \). To achieve a comparable breadth index as used in Fig. 11, we took the reciprocal of the relative area (always \( \leq 1 \)) and multiplied it by 100%. Thus analogous to the result in Fig. 11, indices near 0% represent extremely narrow tuning (a notch at the preferred direction and high firing rates elsewhere) and indices near 100% extremely broad tuning (similar decreases for all directions). The distributions of breadth indices are shown in Fig. 12D. We found no significant differences in the tuning curve breadths as a function of signal type for these decreasing modulations (\( P > 0.05 \) for all comparisons).

The same analyses were performed on our SNr sample (Supplemental Figs. S3 and S4), but they did not reveal any significant differences in the breadths of tuning (\( P > 0.05 \) for all comparisons). When the distributions of breadth indices for each group of SNr neurons were compared with the respective
data from GPe (e.g., increasing visual GPe vs. increasing visual SNr), none of the comparisons was significantly different (t-test, P > 0.05).

**DISCUSSION**

Our findings support the hypothesis that GPe and GPi neurons are active in diverse ways during oculomotor behavior. The neurons have high firing rates that increase or decrease during visual, saccade, or reward events of a memory-guided task. Comparing between the structures, GPe neurons were strongly visual-related, whereas GPi neurons almost lacked visual activity. Reward activity was common in both structures but infrequent delay activity. As expected from the GPe-to-SNr inhibitory projection, the signal content of GPe neurons closely resembled that of SNr neurons except for a general sign reversal. The signal contents of GPi and SNr had little in common. In both GPe and GPi, spatial response fields could be centered at nearly any amplitude and were relatively sharply tuned and contralaterally directed for visual signals, less distinctly tuned for saccadic signals, and largely omnidirectional for reward signals.

It is well established that control of eye movements involves the caudate nucleus and SNr (Basso and Liu 2007; Basso and Wurtz 2002; Handel and Glimcher 1999, 2000; Hikosaka and Wurtz 1983d,e, 1985; Hikosaka et al. 2000; Jiang et al. 2003; Liu and Basso 2008; Sato and Hikosaka 2002). Just because the caudate–SNr pathway is an important oculomotor circuit, however, does not mean that it is the only such circuit in the basal ganglia. When we began our study, the direct pathway through GPi and the indirect pathways through GPe had not been ruled out as oculomotor circuits; to our knowledge they simply had not been studied (with one exception: Kato and Hikosaka 1995). Traditionally, the GPe had been implicated in skeletonmotor, not oculomotor, behavior. The GPe was known to be part of an indirect pathway that could influence the SNr.
but no quantified summaries of its signal content during ocu- lomotor behavior had been reported. Very recently, accounts of pallidal signals during anti- and prosaccades (Yoshida and Tanaka 2009a) and smooth pursuit (Yoshida and Tanaka 2009b) have been published. Our data extend these new findings to provide a quantified assessment of the wide range of information carried by GPe and GPi neurons during saccadic behavior.

Our data reinforce the emerging view that the GPe and GPi may play an oculomotor role. Neurons in both structures (as in SNr) have visual-, saccade-, and reward-related modulations. Similar signals are found in well-known oculomotor structures outside of the basal ganglia such as the superior colliculus (Goldberg and Wurtz 1972a,b; Schiller and Koerner 1971; Wurtz and Goldberg 1972a,b) and the frontal eye field (Bruce and Goldberg 1985; Schall 1991; Tehovnik et al. 2000). The signals are represented differently between the structures, as bursts and pauses relative to a high firing rate in GPe, GPi, and SNr, but primarily as bursts relative to a low firing rate in superior colliculus, frontal eye field, and most other areas including the caudate nucleus, although the information carried by the signals in all of these structures is comparable. This is not to say that the signals from the various structures are equivalent in their behavioral impact. The GPi, in particular, seems to convey far fewer oculomotor signals than undisputed saccade-related structures such as the superior colliculus. Our point is that GPe and GPi neurons represent multiple events during oculomotor behavior using bursts and pauses as seen elsewhere in the visuosaccadic system, thus making them feasible candidates for participation in eye movements.

Three previous studies provided important data on the signals carried by GPe and GPi neurons during oculomotor behavior. The pioneering study of GPe by Kato and Hikosaka (1995) showed evidence for saccade-related activity in a task that also required lever presses. They used targets at multiple directions and eccentricities but did not quantify population

![Graphs and diagrams showing the breadth of tuning curves for the decreasing type of GPe neurons.](http://jn.physiology.org/)

FIG. 12. Breadths of tuning curves for the decreasing type of GPe neurons. Conventions are the same as those in Fig. 11 except that each tuning curve is normalized to its minimum firing rate (set to 0.2, innermost concentric ring) and thus the calculation of breadth index was different. For details of constructing the graphs and calculation of the index, see text.
response field characteristics. Hong and Hikosaka (2008) found that neurons in GPi carry visual signals representing negative rewards in a biased-reward saccade task. They used targets at 15° eccentricity, left and right of fixation. Yoshida and Tanaka (2009a) reported saccade-related activity in GP using targets at 16° eccentricity, in multiple directions. The eccentricities in the two recent studies (Hong and Hikosaka 2008; Yoshida and Tanaka 2009a) were close to the average eccentricity of response field centers that we reported (18°), but our mapping revealed that the range of optimal eccentricities was expansive (2°–64°). Only 35% of our GP neurons had response field centers between 10 and 25° eccentricity. It seems unlikely that the other 65% of neurons in our sample would have been adequately characterized in the prior work. Our systematic response field mapping may also explain why most fields in the present study were found to be spatially tuned, compared with an apparent prevalence of omnidirectional fields in the previous work.

A somewhat surprising difference between previous work (Hong and Hikosaka 2008; Kato and Hikosaka 1995; Yoshida and Tanaka 2009a,b) and our study pertains to saccade-related activity in GP, which was more prevalent in their data than in ours. This could be due to the subpopulation of GP neurons recorded. Neurons in the previous studies had relatively low baseline firing rates (~33–45 spikes/s) characteristic of GP “border neurons” (DeLong 1971), whereas our neurons had higher firing rates (~80 spikes/s) and seemed to be located throughout GPe and GPi (Supplemental Fig. 1A). In terms of anterior–posterior location, we estimated from MRI, atlases, and physiological landmarks that our pallidal neurons were clustered 4–7 mm posterior to the anterior commissure, but Yoshida and Tanaka (2009a) localized their sample to within 2 mm of the anterior commissure. Also, there were methodological differences between the studies. Yoshida and Tanaka (2009a) used memory-guided saccades, antisaccades, and prosaccades and found the highest saccade-related modulations for antisaccades. We did not test antisaccades, so we may have missed some potential saccade-related modulations. Yoshida and Tanaka (2009a) used a relatively broad epoch for defining saccade-related activity (100 ms before to 200 ms after saccade initiation) and only required it to differ from delay activity. We analyzed a more restricted epoch, 50 ms before to 50 ms after saccade initiation, and required it to differ from both baseline and delay activity, to minimize false positives.

Neuronal recording results are correlational and do not demonstrate functional impact. More experiments in the future should attempt causal perturbations of GPe and GPi to see whether stimulation or inactivation affects oculomotor behavior. The latter manipulation was done in GPe by Yoshida and Tanaka (2009a) who found impairment in antisaccades. Some clinical and neurosurgical evidences suggest a causal oculomotor function for GPe. Deep brain stimulation of posteriorventral GPi in human Parkinson’s and Huntington’s patients and posteriorventral pallidotomy in Parkinson’s patients have been shown to influence eye movements (Blekher et al. 2000; Fawcett et al. 2005; O’Sullivan et al. 2003; Straube et al. 1998).

Although we found neuronal signals related to vision, saccades, and rewards, some of the activity modulations may have been correlated with events that we did not monitor directly. One example could be the subtle contraction of neck muscles. This is difficult to rule out even when recording from the most well understood oculomotor structures. Recently it was shown that the activity of saccade-related neurons in the superior colliculus and the frontal eye field is correlated with neck muscle activation (Elsley et al. 2007; Rezvani and Cornell 2008). The activity of some GPi and GPe neurons may be similarly complex, but this possibility does not weaken our fundamental finding that signals exist in both structures to implicate them in oculomotor behavior. Some of our neurons had small-eccentricity response field centers; for example, 39% had centers at ±10° or smaller eccentricity and 72% had centers at ±20° eccentricity. In head unrestrained monkeys, saccades of ±20° amplitude are accompanied by only negligible head movement (reviewed by Freedman 2008). These data provide evidence, albeit indirect, that most of the movement-related activity modulation of our neurons was due to the saccades that were made rather than head movements that may have been attempted.

We found that GPe and GPi neurons had different distributions of signals. GPe neurons had more visual activity and GPi neurons had more reward-related activity. The high proportion of reward-related activity in GPi concurs with its connectivity. The GPi has reciprocal connections with substantia nigra pars compacta (Charara and Parent 1994; Smith et al. 1989) and sends efferents to the lateral habenula (Hazrati and Parent 1991; Lecourtier and Kelly 2007; Parent et al. 2001). Matsuno and Hikosaka (2007) reported that the lateral habenula predicts negative reward value and provides inputs to compacta neurons that predict positive reward value. A follow-up study using antidromic stimulation to identify GPe projection neurons provided direct evidence that they contribute to the negative value signals in lateral habenula (Hong and Hikosaka 2008).

We found that in GPe neurons, too, reward-related signals were present. GPe neurons have no known direct connection with the habenula; instead, they interconnect mostly with other structures in the basal ganglia (Parent and Hazrati 1995). Many such structures, including SNr, GPi, and caudate nucleus, are implicated in reward processing, so the reward-related neurons in GPe might contribute to oculomotor behavior through them.

A prominent feature of GPe neurons was their strong visual responsivity. This characteristic hints at where the neurons may project. Two major targets of GPe are the subthalamic nucleus and SNr (Sato et al. 2000), structures that have many neurons with visual responses (Hikosaka and Wurtz 1983a,b,c,d; Hikosaka et al. 2000; Matsumura et al. 1992). Another major target of GPe neurons is GPi (Sato et al. 2000), but as we have shown, GPi neurons have little visual responsivity. The simplest explanation is that the GPe neurons that we studied preferentially project to subthalamic nucleus and SNr, rather than to GPi. GPe neurons are inhibitory and, supporting the idea that our GPe neurons may influence the SNr, we found that the valences of signals in our GPe and SNr samples were generally opposite; increasing signals predominated in the GPe former and decreasing signals in the SNr.

In our analysis of the response fields, we identified a surprising trend: the fields became less lateralized and more omnidirectional as events proceeded from visual stimulation to saccade generation to reward delivery. The most striking difference (and the only change that was statistically significant) was the sudden broadening of fields at the final, reward delivery, stage of the task. Fundamentally, GPe and GPi
neurons fired in advance of rewards regardless of the spatial details of a particular trial. The nonspatial nature of the activity reinforces the idea that it was related to predicting reward (a nonspatial entity) and was not a late response yoked to particular vectors of visual stimulation or saccadic movement.

Somewhat neglected in our report has been the potential role of the subthalamic nucleus, a glutamatergic basal ganglia structure (see Fig. 1A). We do not mean to minimize its potential importance. The connections between GPe and the subthalamic nucleus are reciprocal and much of the increasing type of modulation we see in the GPe could be due to excitatory inputs from the subthalamic nucleus. Future studies that use antidromic and orthodromic stimulation to identify more of the inputs and outputs of the GPe are needed to test hypotheses like these.

A provocative implication of our study is that both output nodes of the basal ganglia, the GPi and the SNr, may contribute to oculomotor behavior. We suggest that the functions of these two output nodes may complement each other. A major difference between the GPi and SNr is their anatomical realm of influence. The most prominent transtrhatal targets of GPi are motor and premotor cortices (Alexander et al. 1986; Kayahara and Nakano 1996; Strick et al. 1995), whereas the SNr strongly targets the frontal eye field (Lynch et al. 1994). Thus the GPi may relay oculomotor information to parts of the cerebral cortex that the SNr does not reach. Subcortically, the GPi influences many brain stem structures but apparently not the superior colliculus, which is a well-known target of the SNr. It may be, then, that the GPi does not contribute to saccade generation per se. A more likely hypothesis is that it helps to integrate eye movements and skeletal movements. Taking into account its strong reward-related activity, the GPi may help to coordinate various modalities of action according to reward context.

GRANTS

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