Evidence for reticulospinal contributions to coordinated finger movements in humans

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Honeycutt CF, Kharouta M, Perreault EJ. Evidence for reticulospinal contributions to coordinated finger movements in humans. J Neurophysiol 110: 1476–1483, 2013. First published July 3, 2013; doi:10.1152/jn.00866.2012.—The reticulospinal tract was recently shown to have synaptic connections to the intrinsic muscles of the fingers in nonhuman primates, indicating it may contribute to hand function long thought to be controlled exclusively through corticospinal pathways. Our objective was to obtain evidence supporting the hypothesis that these same anatomical connections exist in humans. startReact, an involuntary release of a planned movement via the startle reflex, provides a noninvasive means to examine the reticulospinal tract in humans. We found that startReact was triggered during coordinated grasp but not individuated finger movements. This result suggests that the reticulospinal tract does have connections to the intrinsic muscles of the fingers in humans but its functional role is limited to coordinated movement of the whole hand. These results do not diminish the well-established role of corticospinal pathways in the control of hand movement. Indeed, they cement the significance of corticospinal pathways in individuated finger movement control. Still, these results point to an updated and expanded view of distal hand control where reticulospinal and corticospinal pathways work in parallel to generate a large repertoire of diverse, coordinated movements, known to involve brainstem pathways (Davidson et al. 2007; Schieber 2011, 2004) are not susceptible to startReact in humans (Nathan and Smith 1955, 1982). This leaves the medial brainstem tracts as the major descending systems to compensate for losses of the corticospinal tract following stroke and certain incomplete spinal cord injuries, which can cause devastating loss of hand function. Of the medial brainstem tracts, the reticulospinal tract receives the most prominent projections from the cortex (Kuypers 1981; Lemon 2008), suggesting it could provide an appropriate alternative to the corticospinal tract.

The reticulospinal tract was recently shown to have connections to the intrinsic muscles of the hand in nonhuman primates (Riddle and Baker 2010), indicating that it may contribute to hand function. Compared with projections from the corticospinal tract, those from the reticulospinal tract in nonhuman primates were more distributed, weaker, and fewer in number. Still, if this same anatomical distribution exists in humans, it would suggest that reticulospinal pathways could play a role in control of hand movement.

Our objective was to obtain evidence supporting the hypothesis that these same anatomical connections exist in humans. The startReact response, an involuntary release of a planned movement via a startling stimulus, provides a noninvasive means to study the role of the reticulospinal tract in humans (Valls-Solé et al. 1999). This phenomenon is easily triggered through unexpected exposure to a loud starting acoustic stimulus, delivered after the subject has prepared a movement. The startReact response is associated with activity in the same neural circuits that mediate the startle reflex. Animal studies demonstrate that the startle reflex is generated in the reticular formation and expressed through the reticulospinal tract (Davis et al. 1982; Davis and Gendelman 1977). Movements mediated without the reticulospinal tract in nonhuman primates (Kuypers 1981; Lawrence and Kuypers 1968a,b) and humans (Lemon 2008; Schieber 2011, 2004) are not susceptible to startReact in humans (Carlsen et al. 2009). Alternatively, proximal movements, known to involve brainstem pathways (Davidson et al. 2007) in nonhuman primates, exhibit startReact in humans (Carlsen et al. 2010). Based on the recent anatomical findings in nonhuman primates (Baker 2011), we hypothesized that coordinated movements of the hand (grasp) would be suscep-
tible to startReact indicating that reticulospinal pathways can exert influence on finger muscles in humans.

**METHODS**

**Subjects.** Seventeen participants (8 males, 9 females; age: 25.9 ± 2.8 yr) with no apparent physical abnormalities or sensory or motor dysfunctions volunteered to participate in the study. Before experimentation, a detailed explanation of procedures and risks was provided to all subjects and express written consent for participation was obtained in accordance with the provisions set forth by the Northwestern University Institutional Review Board Institutional Review Board (STU9204). All subjects were interviewed and screened for recent upper body injury and hearing sensitivity before participating in the experiment.

**Equipment and setup.** Electromyography (EMG) was recorded from the right sternocleidomastoid muscle (RSCM) and the right first dorsal interosseous muscles (FDI). Bipolar EMG electrodes (solid gel, Ag-AgCl surface electrode; MVAP Medical Supplies, Newbury Park, CA) were placed on the belly of the RSCM and FDI muscles. A unipolar ground electrode (solid gel, Ag-AgCl surface electrode; MVAP Medical Supplies) was placed over the right ulnar styloid process. EMG data were passed through preamplifiers (model no. AMT-8; base system, model no. APE-500; 500-gain Bortec, Calgary, Alberta, Canada) with a band-pass filter of 10–1,000 Hz. All electrodes and preamplifier wires were secured to minimize motion artifact. The resulting signals were antialias filtered using fifth order Bessel filters with a 500-Hz cut-off frequency and sampled at 2,500 Hz (PCI-DAS1602/16; Measurement Computing).

Subjects were comfortably seated in a height-adjustable chair with arm rests. The hand and arm were supported against gravity by the arm rest. They were restrained across the chest with padded straps to minimize motion during the experiment. The elbow joint was oriented in line with the shoulder and flexed at 90°. In each trial, subjects performed either Finger or a Grasp task. The Finger task consisted of index finger abduction from a rested position towards the floor (Fig. 1A). A switch device was used to ensure task completion (D2VW-SL1B-3HS; Omron). The switch was positioned such that it was depressed when the subject was at rest. The switch height and angle of the device were made adjustable to fit each subject’s unique hand and finger size/shape. This allowed each subject to keep digits 3, 4, and 5 bent 90° at the proximal interphalangeal joint, leaving digit 2 (index finger) pointing straight along the axis of the forearm and free to move.

The Grasp task consisted of flexion of the fingers about the metacarpophalangeal joint (Fig. 1B). Subjects were given a stress ball with an embedded switch that was positioned in the subject’s palm against the metacarpophalangeal joints. We positioned the switch within the stress ball such that application of a force along the longitudinal axis of the ball, which occurred when the subject grasped the object, resulted in depression of the switch. Subjects were asked to keep their wrist in a neutral position during experimental trials with the thumb resting on the top of the stress ball. The hand position and body posture for this task were almost identical to the Finger task; the only major difference is that the index finger is now bent around the stress ball.

We used switch data to confirm completion of the task by determining whether or not the switch state was modulated during a trial. The initial states of the switch were different (compressed: Finger task; open: Grasp task) for each task by convention to allow differentiation during postprocessing that the appropriate task was completed.

**Protocol.** Two nonstartling, low-intensity (80 dB) acoustic sounds were delivered to subjects. Subjects were instructed to treat the first sound as a WARNING and prepare to move. The second sound was to be treated as a GO after which subjects were asked to perform the movement as quickly as possible. The time between the WARNING and GO signals was randomized between 1.5 and 3.5 s to prevent anticipation of the GO cue.

Before experiment trials, subjects were trained on the task until they generated consistent reaction latencies. Following training, participants experienced blocks of 15 experimental trials. Each block consisted either of the Grasp or Finger task, the order of which was randomly assigned for each subject. During each block five trials, the GO cue was randomly replaced with a high-intensity startling acoustic stimulus of 128 dB delivered through a loudspeaker fixed to the chair directly behind the head of the subject.

**Data analysis.** The onset latency and amplitude of FDI muscle activity were calculated for each trial. The DC offset was removed from the EMGs, which were then rectified and smoothed using a 10-point moving average filter. The average background activity and standard deviation were calculated for a 500-ms window before the GO. Next, an automated program identified the time at which the processed EMG increased above three times the standard deviation of the background activity for a period of at least 5 ms. Following the automatic detection of EMG onset, each trial was evaluated visually to ensure accuracy. The onset latency was then used to calculate the average amplitude of the first 70 ms of FDI muscle activity.

Next, all trials were evaluated for SCM activity, an indicator of the startle reflex (Brown et al. 1991). We used a conservative automated program that captured any SCM activity that exceeded the maximum background activity for at least 0.8 ms. This approach ensured that all possible SCM+ trials were tagged for visual inspection. Each SCM trace was visually inspected to determine if activity was large enough to be classified as SCM+. Any trials where SCM+ could not be definitely assessed or background activation was abnormal were not included in further analysis. Task and trial type were blinded to the reviewer. To be consistent with previous literature (Brown et al. 1991; Carlsen et al. 2004a,b; Carlsen and Mackinnon 2010; Valls-Solé et al. 1995, 1999, 2008) activity within 120 ms after the GO cue was used to identify trials in which a startle occurred, designated as SCM+. Trials where activity in the SCM occurred after 120 ms or was not present were designated as SCM−.

To determine if each task (Finger and Grasp) was susceptible to startReact, the intensity-dependant and startle effects must be evaluated separately. When a task is susceptible to startReact, the presence of the startle reflex decreases the onset latency and increases the amplitude of muscle activity. However, onset latencies also decrease in response to increasing auditory stimulus intensities (Kohfeld 1969, 1971), i.e., subjects react more quickly when the GO stimulus is more intense (louder sound or brighter light). To differentiate between these two factors, it is necessary to compare low-intensity SCM− trials and high-intensity SCM+ and SCM− trials. Low-intensity SCM− trials are compared with high-intensity SCM+ trials to quantify the intensity-dependent effect. High-intensity SCM+ and SCM− trials are then compared with determine if the response is susceptible to startReact. There are only rare low-intensity SCM− trials, so those are not considered in our analyses.

Onset latency and amplitude differences between the high-intensity SCM+ and SCM− trials indicate that the task is susceptible to startReact, i.e., that the presence of startle influences the behavior of the task. The startReact response is associated with activity in the same neural circuits that mediate the startle reflex. Animal studies demonstrate that the startle reflex is generated in the reticular formation and expressed through the reticulospinal tract (Davis et al. 1982; Davis and Gendelman 1977). Movements that have been shown to not

![Fig. 1. Task depiction. Hand configuration during the Finger task (A) and Grasp task (B).](http://jn.physiology.org/doi/10.1152/jn.00866.2012)
utilize these pathways for expression, such as individuated finger movements that rely on corticospinal pathways, are not susceptible to startReact. In contrast, if the movement is susceptible to startReact, performance of the voluntary movement and the startle reflex utilize common (reticulospinal) pathways.

All trials were visually inspected to ensure the task was completed, i.e., the switch condition was altered. Voluntary trials were further inspected to ensure that 1) subjects did not move before the GO or 2) moved too late (motion onset >300 ms after the GO cue). All EMG data were processed in Matlab (R2011b; The MathWorks). Subjects were eliminated on the basis that they did not provide a minimum of three of each trial type (voluntary, SCM+, and SCM−). This was necessary to ensure enough variance for accurate statistical comparisons. Application of this criterion reduced our data set from 17 subjects to 10. Two subjects responded with no SCM− trials and one subject with no SCM+ trials; the remaining four subjects provided fewer than three data points in either SCM+ or SCM− condition for the task. Without sufficient replication of the SCM+ and SCM− trials within each subject, it is not possible to obtain reliable within-subject estimates (Pinheiro and Bates 2000).

RESULTS

Individuated finger movements (Finger task) were not susceptible to startReact. The presence of startle (SCM+) did not influence onset latency or amplitude of the FDI muscle (Fig. 2C). While both SCM+ and SCM− high-intensity trials were faster than low-intensity trials, there was no difference in onset latency and amplitude between these conditions (Fig. 2, A–C).

The average FDI latency during low-intensity SCM− movements was 176 ± 32.5 (n = 224) ms compared with 98 ± 14.8 (n = 102) and 96 ± 15.3 (n = 68) for high-intensity SCM+ and SCM− conditions, respectively.

Coordinated hand movements (Grasp task) were susceptible to startReact. The presence of startle (SCM+) during the high-intensity trials decreased the onset latency and increased the amplitude of muscle activity relative to high-intensity SCM− trials (Fig. 2F). Both high-intensity SCM+ and SCM− trials were faster than voluntary trials (Fig. 2, D–F). The average FDI latency during low-intensity SCM− grasp move-
high-intensity SCM$^+$ Grasp tasks compared with the Finger task ($P = 0.62$).

Group amplitude comparisons were similar to latency results demonstrating that the Grasp task was susceptible to startReact while the Finger task was not. Both the Finger and Grasp tasks showed intensity dependent increase in FDI amplitude between the low-intensity SCM$^-$ and high-intensity SCM$^+$ conditions (Fig. 3B). However, the Grasp task showed an additional increase in amplitude during high-intensity trials when startle was present (SCM$^+$) while the amplitude of the Finger task remained the same. Group results confirmed these results. Condition and task showed significant effects $F(2,843) = 76.9): P < 0.0001$ and $F(1,843) = 301.6: P < 0.0001$. During the Finger task, there was no significant difference between high-intensity SCM$^+$ and SCM$^-$ conditions ($P = 0.64$) while a difference was found during the Grasp task ($P = 0.0$). The FDI muscle amplitude during the low-intensity SCM$^-$ was different from both high-intensity SCM$^+$ ($P = 0.0$) and high-intensity SCM$^-$ ($P = 0.0$) conditions during both Finger and Grasp tasks.

The differences present between tasks were not related to unique properties of the startle reflex between tasks. Specifically, the probability of startle (SCM$^+$) during Finger ($54\% \pm 15$) and Grasp ($61\% \pm 13$) tasks was not different $F(1,9) = 1.58: P = 0.24$. Further, the latency of SCM activity during Finger ($81.07 \pm 19.34$) and Grasp tasks ($82.64 \pm 18.47$) tasks was not different $F(1,183) = 0.24: P = 0.62$.

**DISCUSSION**

Our main finding was that coordinated hand movements (Grasp task) were susceptible to startReact but that individuated hand movements (Finger task) were not. The latency and amplitude of FDI muscle activation was influenced by the presence of startle (high-intensity SCM$^+$) in the Grasp but not the Finger task. This effect was not influenced by the difficulty of the task as the latency of FDI muscle activation was not different between tasks when startle was not present (high-intensity SCM$^-$ and low-intensity SCM$^-$). This task-dependent behavior was also not related to differences in expression of the startle reflex between the two tasks. Specifically, the probability of eliciting a startle was not different between the tasks and the latency of startle, as measured by activity in the SCM muscle, was the same. While it is not possible to definitively test the anatomical connections in humans, our results suggest that the reticulospinal projections found in nonhuman primates (Riddle et al. 2009) also exist in humans. The functional role of these projections appears to be important for coordinating whole hand movements, more than to assist with the individuated movements that appear to rely more on corticospinal projections. This is consistent with the distributed nature of reticulospinal projections, many of which have divergent connections to multiple muscles (Baker 2011; Matsuyama et al. 1999, 1997; Peterson et al. 1975; Riddle et al. 2009).

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**Fig. 3.** Group results. A: comparison of FDI muscle onset latencies during LI SCM$^-$, HI SCM$^+$, and HI SCM$^+$ conditions during the Finger (left) and Grasp (right) tasks. B: comparison of FDI muscle amplitude. C: comparison of FDI latency between tasks. ***$P < 0.001$.**

**Fig. 4.** Relationship between SCM$^+$ and SCM$^-$ latencies. HI SCM$^+$ FDI latency as a function of HI SCM$^-$ FDI latency for the Finger (left: gray) and Grasp (right: black) tasks. A unity line is presented in black.
The use of startReact to investigate the reticulospinal formation in humans is predicated on animal work; however, recent evidence demonstrates that the role of the reticulospinal and cortex during startReact is likely maintained in humans. Inhibition of the cortex through transcranial magnetic stimulation (TMS) suppresses the expression of startReact and enhances the expression of startle (SCM activity) (Alibiglou and MacKinnon 2012) demonstrating that the cortex modulates these responses in humans. Further, human patient populations with cortical lesions often have hypermetric startle reflexes (Jankelowitz and Colebatch 2004), a further indication of cortical modulation of startle in humans. These results suggest that the cortex modulates startle and startReact in humans similarly to animals.

Still, there is evidence that startReact does not require the cortex for expression in humans. Specifically, startReact remains intact following cortical lesion in stroke survivors. While voluntary movements in stroke survivors are substantially impaired, startReact elbow flexion movements are not different in latency and muscle activation patterns from unimpaired individuals of the same age (Honeycutt and Perreault 2012). Further, individuated movements of the hand are not susceptible to startReact (Carlsen et al. 2009; and Finger task presented here). These movements have been shown in human (Schieber 2011, 2004) and animal (Kuypers 1981; Lawrence and Kuypers 1968a,b; Lemon et al. 2012) experiments to be expressed predominantly through the corticospinal tract and not reliant on the reticulospinal tract. Together, these results suggest that only movements that rely predominantly on pathways used by the startle reflex (reticulospinal tract) are easily susceptible to startReact. Thus, while current technology and ethical considerations restrict our ability to concretely state that the reticulospinal tract influences finger movement in humans, this report and the cited literature provide evidence in support of that role.

There are some recent results that question the use of startReact to evaluate the reticulospinal projections in humans, since they suggest that the startReact and startle reflexes can involve separate pathways. In brief, an auditory prepulse or “warning” delivered to subjects before startReact diminishes the activity in the SCM muscle (startle indicator) but does not alter the early release of movement (startReact) (Maslovat et al. 2012). This result indicates that these two phenomena can be modulated differently, even though expression of startReact remained associated with the expression of startle. Further, TMS can be used to delay the early release of movement (startReact), which has been interpreted as involvement from the primary motor cortex (Alibiglou and MacKinnon 2012). However, it has since been shown that TMS also has powerful effects on the reticulospinal formation (Fisher et al. 2012), making it difficult to discount the role of these pathways using cortical TMS alone. While there is no doubt that the cortex is involved in motor planning (Rushworth 2003; Stinear et al. 2009) and that the startle and startReact rely on some distinct neural circuits, the available data still suggest that these phenomena are fundamentally linked, as the startReact is always expressed with startle. Hence, while startle and startReact have distinctive elements, the available literature suggests that they are mediated by overlapping neural circuitry.

Latency difference between SCM+ and SCM− grasp trials. The difference between high-intensity SCM+ and high-intensity SCM− latencies during the Grasp task was found to be 9
ms. This latency is reasonable when one considers that the conduction time between the reticular formation and the cortex is only 2.88 ms (Fisher et al. 2012). During a startle-triggered movement, the auditory stimulus travels from the cochlea through the auditory nerve to the reticular formation (located between the pons and midbrain) where startle triggers movement. Alternatively, during a voluntary movement, the auditory signal must travel additionally from the pons and midbrain to the primary auditory cortex to the primary motor cortex to initiate movement. Thus the signal must travel the additional distance ascending and descending between the midbrain and cortex. If we assume corticospinal and reticulospinal conduction times are similar (Peterson et al. 1975), the conduction time from cortex to reticular formation is 2.88 ms (Fisher et al. 2012) in the monkey. Factoring in one to two additional synapses for the connection between the auditory and motor cortexes and the additional length in humans, a 9- to 10-ms difference between these conditions is a reasonable estimate. Other reports have reported a larger differences between high-intensity SCM and high-intensity SCM+ trials (Carlsen et al. 2009); however, this study was completed the proximal elbow joint, which is distinctive in its neural control and biomechanics.

Role of the reticulospinal and corticospinal tracts in movement. Our results along with those in nonhuman primates demonstrating reticulospinal connections to the intrinsic muscles of the hand (Riddle et al. 2009; Sotropoulos et al. 2012) do not diminish the well-established role of the corticospinal tract in movement control of the hand. The corticospinal tract is uniquely able to activate muscle independently allowing fine, fractionated control (Lemon 1993; Schieber 2011, 2004). This is of particular advantage in muscles of the hand where proper dexterity requires detailed and specific movement. It is known that severing the corticospinal tract in nonhuman primates causes a considerable deficit in hand function (Lawrence and Kuypers 1968a, b) that is paralleled in humans by the devastating loss of hand function following injury to the corticospinal tract after stroke (Handley et al. 2009; Krakauer 2005; Langhorne et al. 2009).

Rather than contradicting the traditional view of the corticospinal pathways, our results point to an updated and expanded view of movement control at the distal limb. The cortex communicates with peripheral muscles through two major descending projections: corticospinal and corticobular. While the corticospinal tract offers the most direct access to the spinal cord, the corticobular pathway connecting through the reticular formation provides an alternative pathway (Lemon 2008). While the reticulospinal tract has well-established role in posture and locomotion (Deliagina et al. 2008; Honeycutt et al. 2009; Honeycutt and Nichols 2010; Mori 1987; Mori et al. 1989; Musienko et al. 2008; Schepens et al. 2008; Stapley and Drew 2009), new evidence expands this traditional view highlighting its role during voluntary movements like reaching (Buford and Davidson 2004; Davidson et al. 2007; Sakai et al. 2009). Although this type of task is known to also be mediated by corticospinal pathways, recordings from the reticular formation demonstrate that these cells are strongly modulated during reaching. Thus during reaching, corticospinal and reticulospinal pathways work in concert to deliver appropriately coordinated movement of the arm. This is supported by anatomical data demonstrating that 48% of interneurons in the intermediate zone, associated with both proximal and distal joint, receive inputs from both corticospinal and reticulospinal inputs (Alstermark et al. 1984; Baker 2011; Drew et al. 2004; Riddle and Baker 2010). These previous findings, in conjunction with the results presented in this report, suggest that this same type of collaboration between pathways occurs at the level of the distal hand.

The notion of a proximal-distal gradient defining the influence of corticospinal/medial brainstem tracts, while based on empirical evidence, may not fully express the versatility of these pathways. The proximal-distal gradient view of the nervous system is based on the data from Lawrence and Kuypers showcasing the gradation of control of these different tracts (Lawrence and Kuypers 1968a, b; Lemon et al. 2012). It is supplemented from data that corticospinal connections increase in number in a proximal-distal fashion while reticulospinal synapses follow the opposite trend (Baker 2011). We suggest that this organization may result from the different muscular requirements of tasks completed at proximal and distal joints. Proximal joint movements (shoulder and hip) require coordinated activity across multiple muscle groups to generate the desired movement. Movement at these joints also requires postural adjustments of the whole body to maintain stability. The reticulospinal tract, with its distributed, pervasive connections, is ideal to coordinate such movements. Alternatively, the highly specified tasks completed by the distal hand (typing and writing) require fractionated control of small groups of muscles or individual muscle activation. The selective corticospinal tract is anatomically best suited to these types of tasks. The biomechanical challenges of the proximal and distal joint likely dictate these distinct roles but importantly do not preclude corticospinal influences at proximal joint or reticulospinal influences at the distal joint. We, therefore, propose that these two systems work in parallel within a neural organization based on functional control with the reticulospinal and corticospinal tracts mediating coordinated and individuated movements, respectively, throughout the entire arm.

Clinical significance. The presence of distal reticulospinal projections makes it an attractive therapeutic target. We recently demonstrated that following stroke elbow movements elicited via startReact were improved from voluntarily activated movement (Honeycutt and Perreault 2012). Therefore, it may be possible to utilize startReact as a training tool during therapy to elicit more appropriate movements in stroke patients. It is known that reticulospinal projections are strengthened following injury to the corticospinal tracts (Zaami et al. 2012) and are utilized more strongly following stroke (Mazevet 2003). Still, although the reticulospinal tract has the capacity to serve as an alternative pathway to access the muscles of the hand, these projections are significantly fewer in number than those of the corticospinal tract (Baker 2011). Further research is necessary to evaluate if there are enough of these projections to strengthen following stroke to generate functionally significant results.

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