Title:
Electrical neuroimaging during auditory motion aftereffects reveals that auditory motion processing is motion-sensitive but not direction-selective

Running Head:
Neural correlates of auditory motion aftereffect

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DM designed and performed research, analyzed data, and wrote the paper.
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Abstract

Following prolonged exposure to adaptor sounds moving in a single direction, participants may perceive stationary-probe sounds as moving in the opposite direction (direction-selective auditory motion after effect (aMAE)), and be less sensitive to motion of any probe sounds that are actually moving (motion-sensitive aMAE). The neural mechanisms of aMAEs, and notably whether they are due to adaptation of direction-selective motion-detectors, as found in vision, is currently unknown and would provide critical insight into auditory motion processing. We measured human behavioral responses and auditory evoked potentials (AEPs) to probe sounds following four types of moving-adaptor sounds: Leftward and Rightward unidirectional, Bidirectional, and Stationary. Behavioral data replicated both direction-selective and motion-sensitive aMAEs. Electrical neuroimaging analyses of AEPs to stationary probes revealed no significant difference in either global field power (GFP) nor scalp topography between Leftward and Rightward conditions, suggesting that aMAEs are not based on adaptation of direction-selective motion-detectors. By contrast, the Bidirectional and Stationary conditions differed significantly in the stationary-probe GFP at 200 ms post-stimulus onset without concomitant topographic modulation, indicative of a difference in the response strength between statistically indistinguishable intracranial generators. The magnitude of this GFP-difference was positively correlated with the magnitude of the motion-sensitive aMAE, supporting the functional relevance of the neurophysiological measures. Electrical source estimations revealed that the GFP-difference followed from a modulation of activity in predominantly right-hemisphere frontal-temporal-parietal brain regions previously implicated in auditory motion processing. Our collective results suggest that auditory motion processing relies on motion-sensitive, but, in contrast to vision, non-direction-selective mechanisms.

Keywords:
acoustic spatial processing; illusory motion; EEG; evoked potentials; human cerebral cortex
Introduction

Visual motion aftereffects (vMAEs), the illusory motion of stationary visual stimuli (probes) following exposure to a prolonged moving stimulus (adaptor), are due to adaptation of direction-selective neurons within the visual motion pathway (for review, see Niedeggen and Wist 1998; Thompson and Burr 2009). By contrast, the neural basis of their auditory counterparts, the auditory motion after-effects (aMAEs), remains unknown. Studies of aMAEs have indeed so far been limited to psychophysical investigations; they showed that prolonged exposure to adaptor sounds moving in a particular direction induces two types of aMAEs. The “direction-selective” aMAE is the perception of a stationary probe sound moving in the opposite direction to the adaptor (Grantham and Wightman 1979; Grantham 1998; Dong et al. 2000; Neelon and Jenison 2003). The “motion-sensitive” aMAE is a loss of sensitivity to the motion, in any direction, of moving probes sounds; specifically, the minimum angle that a horizontally moving sound must traverse to be reliably perceived as moving is significantly elevated following moving adaptors (Grantham 1989, 1992).

Elucidating the neural basis of aMAEs will provide important insight into the mechanisms of human auditory motion processing, which remains poorly understood. Various current models of auditory motion processing predict different patterns of brain responses to the stationary probe during the aMAEs (Fig. 1, Table 1).

A first model posits that as in vision, auditory motion perception relies on direction-selective motion-detectors (Wagner et al. 1997). According to this model, henceforth referred to as the “direction-selective model”, horizontal motion perception would depend on the balance of activity between motion-detectors selective for leftwards or rightwards motion. This is depicted schematically in Fig. 1A, where the relative spike rates are plotted as a function of ITD (e.g., see Malone et al. 2002) for leftwards- and rightwards-selective motion adaptors, shown in red and blue, respectively. The dashed red-line in Fig. 1A shows the increased response of the leftwards motion-detectors at the onset of a unidirectional leftwards adaptor. During exposure to this unidirectional adaptor, selective neural adaptation will result in a reduced response for motion detectors selective for that direction (solid red line, Fig. 1A), but not for motion detectors selective for the opposite direction (solid blue line, Fig. 1A). Therefore, when the stationary probe is presented, the mismatch in response size between the leftwards and rightwards motion detectors (see arrows in Fig. 1A) will
result in illusory rightward motion, that is, a direction-selective aMAE. Similarly, a unidirectional rightwards adaptor will result in the perception of illusory leftward motion of a stationary probe due to selective adaption of the rightward-motion detectors (see arrows in Fig. 1C). In this way, the brain response to a stationary probe sound would be expected to differ quantitatively between the Leftwards (Fig. 1A) and Rightwards (Fig. 1C) unidirectional adaptor conditions because of the difference in relative activity of distinct neural populations (solid red and blue lines in Fig. 1A,C).

During exposure to an alternating leftwards and rightwards adaptor (Bidirectional condition, Fig. 1E), the responses of both leftwards and rightwards motion-detectors will be increased at onset (dashed lines, Fig. 1E) and then reduced due to neural adaptation (solid lines, Fig. 1E). This reduction in response would result in reduced sensitivity to motion in probes that are actually moving (see arrows in Fig. 1E), and would explain the behavioral motion-sensitive aMAE. In comparison, this neural adaptation, and hence reduction in perceptual sensitivity, will not occur during presentation of a stationary adaptor (Stationary condition, Fig. 1G). The brain responses to any probes, including stationary, would be expected to differ quantitatively between Bidirectional and Stationary conditions due to a difference in the level of activity within the same neural generators (compare solid lines in Fig. 1E and G). The predictions for the direction-selective model are summarized in Table 1: i) The brain responses to the stationary probe in the Leftwards (Lw.) and Rightwards (Rw.) conditions may have similar response strength (level of activity), but would be expected to differ in the configuration of neural populations (“Configuration”); ii) In contrast, the brain responses to the stationary probe in the Bidirectional (Bid.) and Stationary (Stat.) conditions would be expected to differ in response strength (“Strength”), but not in the configuration of neural generators.

In stark contrast to the direction-selective model, other authors have proposed that rather than motion detectors, auditory motion perception could be based on serial “snapshots” of static sound localization (Grantham 1986; Middlebrooks and Green 1991). Because adaptation cannot occur if there are no motion-detectors, this model, referred to as the “snapshot model”, predicts that any behaviorally measured aMAEs would be due to other factors, such as response bias, and thus brain response to stationary probes would be the same in all four conditions; that is no differences in response strength or configuration of neural generators (Table 1).
Finally, auditory motion perception may rely on neurons that are motion-sensitive, but not direction-selective (Spitzer and Semple 1991; Griffiths et al. 2000; Scott et al. 2009). According to this model, referred to as the "motion-sensitive model", during exposure to a moving adaptor, motion-detectors would show the same pattern of adaptation irrespective of whether the adaptor was unidirectional Leftwards (Fig. 1B), Rightwards (Fig. 1D) or Bidirectional (Fig. 1F). On the one hand, this motion-sensitive model could not explain the direction-selective aMAE, and the brain response to stationary probes would not be expected to differ between Leftwards and Rightwards conditions (compare arrows in Fig. 1B and D; Table 1). On the other hand, the motion-sensitive model could explain the behavioral motion-sensitive aMAE in a similar way to the direction-selective model (compare arrows in Fig. 1F and H). Neural adaptation would result in reduced activity following the Bidirectional adaptor (Fig. 1F) but not the Stationary adaptor (Fig. 1H), and thus the brain responses to probes would be expected to quantitatively differ between Bidirectional and Stationary conditions due to a difference in the level of activity within the same neural generators (Fig. 1F,H; Table 1).

To directly compare these models, we analyzed behavioral and electrical neuroimaging measures to probe sounds during various conditions of aMAE. Participants reported the perceived direction (left or right) and extent of motion (small or large) for probe sounds presented after four different adaptor sounds: Leftwards, Rightwards, Bidirectional, and Stationary. Probe sounds were either stationary or moving. We performed comparisons of AEPs between the unidirectional adaptor conditions (Leftwards and Rightwards), and between Bidirectional and Stationary conditions, in order to explore the neural basis of the behavioral aMAEs. As described in the Methods, these comparisons were done for both the global field power (GFP) and global map dissimilarity (GMD), to examine differences in response strength (level of activity) and in the configuration of underlying neural generators, respectively, thereby allowing us to directly test the predictions of the three different models of auditory motion processing (Table 1).

**Methods**

**Participants**

Thirteen (4 female; aged 19 - 38, mean age 25±5) took part in the study. All reported normal
hearing and normal or corrected to normal vision, and none had a history of neurological or psychiatric illness. According to the Edinburgh Inventory (Oldfield 1971), twelve participants were right-handed and one was left-handed. Each participant provided written, informed consent to the procedures, which were approved by the Ethics Committee of the University of Fribourg.

Stimuli

A 3000-ms adapting sound (referred to as the “adaptor”) was followed, after a silent inter-stimulus interval of 800 ms, by a 750-ms probe sound (the “probe”), as shown in Figure 2. Both adaptor and probe were random Gaussian noise. There were four adaptor conditions with different trajectories of motion (Table 2). The auditory motion of the adaptor and probe sounds was achieved by manipulating the ongoing interaural time difference (ITD). ITD is the most important cue for localizing, in the horizontal plane, sounds that include low-frequencies (Wightman and Kistler, 1992), and avoids the confounding monaural changes in level or frequency between the ears induced by other spatial cues (see Discussion). In the first three conditions, the ITD of the adaptor sound was changed linearly from -500 to 500 µs, either left- (negative ITD) or right-ear leading (positive ITD), in order to simulate auditory motion (e.g. Ducommun et al., 2002). This motion took place over a period of 750 ms, and was repeated four times during the 3000-ms adaptor sound (Fig. 2). This design of adaptor ensured the presentation of motion at an optimum velocity (approximately 240°/sec; Grantham and Wightman 1979; Grantham 1989) for an optimum duration (Neelon and Jenison 2004) to induce an auditory motion aftereffect, within the time constraints of an electroencephalographic (EEG) study. In the Leftwards and Rightwards conditions, the ITD during each of these periods of motion was initially leading in the right or left ear, respectively, creating the percept of motion in one direction, left-(Lw) or rightwards(Rw) only, during each of the four periods. In the third condition, Bidirectional, the direction of motion would alternate over the four periods: Lw-Rw-Lw-Rw, or Rw-Lw-Rw-Lw. In the fourth condition, Stationary, the adaptor ITD was fixed at 0 µs for all four periods, creating the percept of a stationary, midline sound.

In all four conditions, the adaptor could be followed by one of five types of probe (Table 3). For four of these probes the ITD was changed linearly over 750 ms. The ITD trajectories were from
0 to -150, -150 to 0, 0 to 150, or 150 to 0 μs, resulting in strong percepts of motion from midline-to-left, left-to-midline, midline-to-right, and right-to-midline, respectively. For the fifth type of probe, the ITD was held constant at 0 μs, and this is referred to as the “stationary probe”. In order to ensure that all spectral components of the auditory stimuli conveyed unambiguous ITD cues (that is, component interaural phase differences were never greater than π), sounds were low-pass filtered at 1 kHz using a 4th-order Butterworth filter. After filtering, all sounds were gated on and off with 5-ms cosine ramps, which were synchronous at the two ears to avoid envelope ITD cues. Envelope ITDs are ineffective at low frequencies (Henning 1983; Henning and Ashton 1981) and may differ from ongoing ITDs in terms of the neural encoding mechanisms (Dreyer and Delgutte 2006).

Within the adaptor sounds, gating was applied to each of the four periods, in order to avoid the percept of motion in the reverse direction at the transition between periods.

Matlab (Mathworks, Natick, MA) was used to create auditory stimuli, which were presented via headphones (Sennheiser HD428, Old Lyme, CT). Stimulus delivery and response collection were carried out with E-Prime 2.0 (Psychology Software Tools, Sharpsburg, PA) and a 22-inch LED monitor (Samsung BX2250; 1920x1080 pixel resolution; 60 Hz frame-rate) for the fixation-cross (see below).

Procedure

Each trial comprised an adaptor followed by a probe sound (Fig. 2). Participants were asked to judge the direction (leftwards or rightwards) and the extent (small or large) of motion of the probes sounds by pressing one of four response buttons arranged in the following order (from left-to-right): leftwards-large extent; leftwards-small extent; rightwards-small extent; and rightwards-large extent. Responses were made with the same hand throughout the experiment. Participants had up to 2000 ms to respond and no feedback was given. After each response, the next trial started after an inter-trial interval of 750 ms. To reduce the influence of eye-movements, participants were asked to fixate a cross presented in the center of the screen from the beginning of the adaptor until the end of the probe sound.

In order to reduce fatigue, the experiment was divided into blocks. Each block comprised 60 trials and lasted about 7 minutes. There were 16 blocks (4 blocks per adaptor condition).
Participants had a break of at least 1 minute between each block. The adaptor condition was kept the same four consecutive blocks (4 blocks per condition * 60 trials per block = 240 trials per condition; Table 2). After these four consecutive blocks, there was a break of at least 5 minutes before a new adaptor condition was presented to prevent “carry on” of adaptation effect. The probability of a stationary probe was 80% (Table 3). In this way, for each adaptor condition there were on average 192 (= 80% * 240 trials per condition) stationary-probe trials, which were the focus of the EEG analysis.

Analysis of Behavioral Data

For each condition, the direction of the aMAE was measured using a direction-selectivity index calculated by subtracting the number of “leftwards” from the number of “rightwards” responses, divided by the total number of responses. Values of -1 or 1 indicate that all probes were perceived as moving leftwards or rightwards, respectively.

For each condition, the amplitude of the aMAE was measured using a motion-sensitivity index calculated by subtracting the number of responses for “small” from the number of responses for “large” extents of motion, divided by the total number of responses. Values of -1 or 1 indicate that all probes were perceived as having a small or large extent of motion, respectively.

The direction-selective aMAE would manifest as a difference in direction-selectivity index between Leftwards and Rightwards adaptor conditions, while a motion-sensitive aMAE would manifest as a difference in motion-sensitivity index between Bidirectional and Stationary conditions.

Paired $t$-tests were conducted to analyze the difference in direction-selectivity or motion-sensitivity index across conditions. It is important to note that all probes were included in the behavioral analysis, although only the stationary probes were included in the EEG analysis (Table 3).

EEG data acquisition and preprocessing

Continuous electroencephalography (EEG) was acquired at 1024 Hz through a 128-channel Biosemi ActiveTwo system. Preprocessing was performed using FieldTrip (Oostenveld et al., 2011), which runs under Matlab. The data were 1) resampled at 512 Hz to reduce computation time, 2)
band-pass filtered from 0.1 to 40 Hz by applying a 2nd-order zero-phase Butterworth filter in both forward and reverse time order, and notch filtered at 47 – 53 Hz, and 3) divided into stimulus-locked epochs covering the period -150 to 600 ms relative to probe sound onset (385 time frames per epoch). Only epochs for the stationary-probe sounds were included in the EEG analysis (Table 3). The EEG epochs were averaged, for each participant, for the Leftwards, Rightwards, Bidirectional, and Stationary adaptor conditions. In addition to a ±100 μV artifact rejection criterion, EEG epochs containing eye blinks or other noise transients were removed after visual inspection. Data were baseline corrected using the mean over the whole epoch. The average number (±SEM) of accepted epochs was 165±4, 161±6, 164±7, and 165±4 for the Leftwards, Rightwards, Bidirectional, and Stationary conditions, respectively. Paired-comparisons showed that there were no significant differences in the number of accepted epochs between Leftwards and Rightwards (t(12) = 0.90, p = 0.384) or between Bidirectional and Stationary conditions (t(12) = - 0.18, p = 0.854), ensuring that any difference in the contrasted AEPs did not follow from differences in the signal-to-noise ratio between conditions.

Artifact electrodes from each participant were then interpolated (Perrin et al., 1987) using EEGLab (Delorme and Makeig, 2004), which runs under Matlab. The mean percentage (±SEM) of interpolated electrodes was 2.9±1.3, 2.7±0.9, 2.7±1.2, and 3.0±1.2 % for the Leftwards, Rightwards, Bidirectional and Stationary conditions, respectively.

**EOG data acquisition and analysis**

In order to assess for the possible influence of eye position, electrooculography (EOG) was acquired from four participants using three additional electrodes, which were placed above the nasion and below the outer canthi of the eyes (e.g. see Schlögl et al. 2007). EOG data were 1) acquired at 1024 Hz simultaneously with the EEG data via the Biosemi ActiveTwo system, 2) band-pass filtered from 0.1 to 100 Hz by applying a 2nd-order zero-phase Butterworth filter in both forward and reverse time order, and notch filtered at 47 – 53 Hz, and 3) divided into stimulus-locked epochs covering the period -150 to 600 ms relative to probe sound onset (385 time frames per epoch). Epochs for the stationary probe-sound were averaged for each adaptor condition and submitted to a repeated-measures ANOVA with within-participant factors of EOG electrode and
adaptor condition. There was no main effect of condition ($F(3,9) = 1.42, \ p = 0.301$), and no interaction ($F(6,18) = 1.29, \ p = 0.309$), which indicates that mean eye position did not significantly differ between the conditions.

Global Electrical Field Analyses

To investigate the neural correlates of the direction-selective aMAE, AEPs to stationary probes were compared between the Leftwards and Rightwards adaptor conditions. To investigate the neural correlates of the motion-sensitive aMAE, stationary-probe AEPs were compared between Bidirectional and Stationary adaptor conditions. These comparisons were based on reference-independent measures of the strength and of the topography of the electrical field of scalp potentials. These analyses of event related potentials have been detailed elsewhere, and provide several benefits over canonical AEP waveform analyses (Murray et al. 2008; Tzovara et al. 2012). In particular, they circumvent the potential biases induced by a priori selection of restricted electrodes or time-periods of interest, and they enable data-driven, statistically-based neurophysiological interpretations of AEP modulations.

Modulations in the strength of the electric field at the scalp were assessed using the global field power (GFP; Lehmann & Skrandies 1980), which is calculated as the spatial standard deviation of the potentials at all electrodes at each time-frame. Modulations in the topography of scalp potentials were assessed using the global map dissimilarity (GMD), which is equivalent to the spatial Pearson’s product-moment correlation coefficient between the potentials of the two maps being compared (e.g. Murray et al. 2008).

GFP difference and GMD were analyzed at each time-frame using randomization statistics (Koenig et al. 2011), where values at each time-frame were compared with an empirical distribution derived from bootstrapping (5000 permutations). GFP difference and GMD were calculated between the Leftwards and Rightwards conditions, and between the Bidirectional and Stationary conditions.

GFP and GMD are orthogonal measures and the combinations of modulations at the level of one or both of these measures provide a direct neurophysiological interpretability: a topographic modulation with or without concomitant GFP modulation necessarily follows from a change in the
configuration of the underlying intracranial generator (Lehmann and Skrandies 1980). Therefore, a modulation in response strength (as indexed by the GFP) without concomitant topographic modulation can be interpreted as a change in the strength of the response of statistically indistinguishable generators (Murray et al. 2008).

Effects were considered reliable if they met or exceeded the p<0.05 criterion. Multiple comparisons and temporal auto-correlation were corrected by application of a >11-contiguous data-point temporal criterion (Guthrie & Buchwald 1991). Guthrie and Buchwald (1991) generated pseudorandom temporally-autocorrelated EEG data sets to show that when comparing across conditions, the number of continuous significant data points that would be expected to arise by chance (based on 1000 permutations) varies as a function of the number of data points in the epoch, and to a much lesser extent the number of participants and the temporal criterion level chosen (p < 0.05 or p < 0.1 in their simulations). This approach for dealing with the temporal auto-correlation found in EEG data is one of the recommended approaches in authoritative guidelines on EEG analysis (e.g. Picton et al. 2000), and has been incorporated into a number of other analysis methods (e.g. Blair & Karniski 1993, Maris & Oostenveld 2007). We applied the technique to our data set (13 participants, 385 time points) and showed that number of significant contiguous data points was > 11 and > 15 for the temporal-criterion levels of p > 0.05 and p > 0.1, respectively. Both of these criterion levels were examined in order to avoid the cliff effect of 0.05 (Rosenthal and Gaito 1963; Rosnow and Rosenthal 1989), but as there was no difference in the pattern of results, only the results for p > 0.05 are reported.

Electrical Source Estimation

Electrical sources underlying the scalp-recorded data were estimated for the period of significant difference found in the global electrical field analyses. This was done using a distributed linear inverse solution based on a local autoregressive average (LAURA) regularization approach (Grave de Peralta et al. 2001; Grave de Peralta et al. 2004; see also Michel et al. 2004 for a comparison of inverse solution methods), which was run in Cartool software (Brunet et al. 2011). LAURA selects the source configuration that mimics the biophysical behavior of electric fields (i.e. activity at one point depends on the activity at neighboring points). The solution space is based on
a realistic head model and included 3005 solution points homogeneously distributed within the grey matter of the average brain of the Montreal Neurological Institute (courtesy of R. Grave de Peralta Menendez and S. Gonzalez Andino, University Hospital of Geneva, Geneva, Switzerland). Intracranial sources were statistically compared at each node level between conditions using the same within-subject designs as in the global electrical field analyses.

Electrode-level analyses

Global field analyses described above are based on the activity of all scalp electrodes. Due to the absence of significant global map dissimilarity (GMD; see Results), we also performed more spatially sensitive analyses at the electrode-level. In order to correct for multiple-comparisons we used a nonparametric randomization method (Maris and Oostenveld 2007) to identify clusters of electrodes which differed significantly between the conditions been compared. Clusters were identified as spatially contiguous electrodes where the $t$ statistics exceeded a threshold ($p < 0.05$) and the cluster-level statistic was defined as the sum of the $t$ statistics of the electrodes in that cluster. In order to control for the Type-I error rate for the complete set of 128 electrodes, the data were randomly permuted between the two adaptor conditions within every participant, and a reference distribution of the cluster-level $t$ statistic was created from 1000 random sets of permutations. The nonparametric $p$ value was estimated as the proportion of permutations in this distribution which exceeded the observed maximum cluster-level statistic.

Results

Behavioral results: direction-selective aMAE

Participants showed a direction-selective aMAE. There was a significant difference in direction-selectivity indices for stationary probes between the Leftwards and Rightwards adaptor conditions ($t(12) = 4.15, p = 0.001$), indicating that following an adaptor moving in a single direction (Leftward and Rightward conditions), stationary probes were perceived as moving in the opposite direction (Fig. 3A). On average, the direction of moving probes was accurately perceived in all conditions: direction-selectivity indices (± SEM) were -0.7±0.2, and 0.6±0.2, for leftwards and rightwards moving probes, respectively (see vertical dotted lines in Fig. 3A,).
Behavioral results: motion-sensitive aMAE

Participants also demonstrated a motion-sensitive aMAE. Motion-sensitivity indices to moving probes were smaller in the Bidirectional than the Stationary adaptor conditions ($t(12) = -5.10, p < 0.001$), indicating that moving probes were perceived as having a larger extent of motion when presented after a stationary adaptor than after a moving adaptor (Fig. 3B). Stationary probes were, on average, perceived as having a small extent of motion in all conditions: motion-sensitivity indices of $-0.7\pm0.1$ (vertical dotted line in Fig. 3B).

Auditory evoked potentials: direction selectivity

Stationary probe sounds elicited robust auditory evoked responses (AEPs), which had a typical AEP waveform morphology (Fig. 4A). Directional selectivity in the electrical brain response to stationary probe sounds was analyzed by contrasting probe AEPs in the Leftward vs Rightward adaptor conditions. This analysis showed that there was no statistically significant difference ($p < 0.05, > 11$ data-points) in scalp topography (global map dissimilarity, Fig. 4C). Importantly, this was still the case when the analysis was restricted to only include AEPs of trials with a behavioral direction-selective aMAE; that is where the stationary probes were perceived as moving in the direction opposite to that of the adaptor (thin blue lines in Fig. 4C). In order to increase spatial sensitivity, a comparison between Leftwards and Rightwards conditions was also performed at the electrode level, using cluster-based permutation technique to correct for multiple comparisons (see Methods). No significant clusters were found. Similarly, there was no significant difference in the global field power (GFP) between Leftward and Rightward conditions (GFP, Fig. 4B).

Auditory evoked potentials: motion sensitivity

Motion sensitivity in the electrical brain response to stationary probes was analyzed by contrasting probe AEPs in the Bidirectional vs Stationary adaptor conditions. There was a significant difference ($p<0.05, > 11$ data-points) in global field power between the two conditions from 188 to 211 ms post-stimulus onset (Fig. 4D). This was due to a significantly higher mean GFP in the Bidirectional than the Stationary condition over this period (Fig. 4F; $t(12) = 2.34, p < 0.05$).
This difference in GFP was positively correlated with the difference in the corresponding motion-sensitivity indices (Fig. 3B) for the two conditions (Pearson $r(11) = 0.56; p < 0.05$; Fig. 4D). Source estimations revealed that the GFP difference followed from a modulation of activity ($p < 0.05$) in predominantly right-hemisphere, bilateral temporo-fronto-parietal cortices and insula (Fig. 4H). The most significant modulation was in the right inferior frontal gyrus (Brodmann Area 9, Talairach coordinates [51, 20, 24]; Talairach & Tournoux, 1988). Other regions of significant modulation included right middle frontal gyrus, bilateral insula, precentral gyrus and inferior parietal lobule, and a small part of the left superior temporal gyrus. There was no significant difference in scalp topography (GMD) between Bidirectional and Stationary conditions (Fig. 4E).

Discussion

We identified, for the first time, the neural correlates of the auditory motion after-effects (aMAEs). Behavioral results replicated previous psychophysical literature by showing a direction-selective aMAE (i.e. the perception of illusory motion of a stationary probe sound in the opposite direction to the adaptor sound), as well as a motion-sensitive aMAE (a loss of sensitivity to the auditory motion of actually moving probe sounds following exposure to a moving adaptor). Comparisons between electrical neuroimaging analyses of the AEPs to the stationary probe revealed no significant difference in the global field power or scalp topography between the Leftwards and Rightwards adaptor conditions, even with more spatially sensitive electrode-level analyses. This pattern of results indicates that aMAEs are not due to adaptation of direction-selective motion detectors because a differential adaptation of direction-selective motion detectors (Fig. 1AC) would have resulted in the engagement of distinct configurations of intracranial generators (direction-selective model in Table 1) and consequently in a topographic modulation (e.g. Vaughan 1982; for review, see Murray et al. 2008).

In contrast, electrophysiological responses to stationary-probes in the Bidirectional and Stationary conditions differed significantly in global field power (GFP; around 200 ms post-stimulus onset), but not in topography. This pattern of results is consistent with the motion-sensitive model (Fig. 1B,D,F,H) holding that the motion-sensitive aMAE is due to adaptation of non-direction-selective motion detectors. GFP modulation without concomitant topographic modulation indeed
indicates a difference in responses strength between statistically indistinguishable neural
generators (e.g. Skrandies 2007; Koenig and Melie-García 2010), as predicted for the motion-
sensitive model in Table 1. Further supporting this hypothesis, the GFP difference was positively
correlated with the magnitude of the perceived motion-sensitive aMAE and source estimations
localized the GFP modulation within a predominantly right-lateralized, fronto-temporal-parietal
network, which has previously been shown to be sensitive to sound motion (Griffiths et al. 1994;
1998, 2000; Baumgart et al., 1999; Bremmer et al. 2001; Ducommun et al. 2002; Lewis et al 2000;
Pavani et al. 2002; Warren et al. 2002; Xiang et al. 2002; Krumbholz et al. 2005; Zimmer &
Macaluso 2009; Getzmann 2011).

Caution must be taken in making conclusions about the presence of a statistically significant
effect for one comparison (Bidirectional vs Stationary) but not for another (Leftwards vs
Rightwards). The former result can be considered a significant main effect of the factor “motion”
(present or absent), whereas the latter result could be described as the absence of a main effect of
the factor “direction” (leftwards or rightwards). A significant interaction term is necessary to
conclude on interaction between factors, that is, on the difference between differences, in two
factorial designs (Niewenhuis et al. 2011). However, in the current experiment we were not able to
use such an ideal 2x2 factorial design due to the impossibility of creating an auditory stimulus
which has direction but lacks motion.

The magnitude and inter-participant variability of the direction-selective aMAE were comparable
to previous studies (Grantham & Wightman 1979, Grantham 1989, 1998). In the seminal study by
Grantham and Wightman (1979) the direction-selectivity indices of the three participants varied
between 0.65 and 1.00, and between -0.17 and -1.00 for Leftwards and Rightwards adaptor
conditions, respectively (Fig. 4 of Grantham and Wightman, 1979; compare with interquartile
ranges in Fig. 3A of current study). In comparison, direction-selective visual MAEs often show a
direction-selectivity index of magnitude 1 for all participants (for review, see Anstis et al. 1998;
Mather et al. 1998, 2008). In contrast to vision, the direction-selective aMAE may not reflect
genuine perception of counter motion (Ehrenstein 1994), and thus may not reflect adaptation of
direction-selective motion-detectors. An explanation for the perception of auditory-motion direction
and the direction-selective aMAE, without direction-selective motion detectors, was proposed by
Boucher et al (2004), who advanced that auditory motion perception relies on a high-level motion-system equivalent to the “third-order” motion system in vision, which is based on attentional tracking of features within a salience map (Lu & Sperling 1995, 2001). Such a system is heavily influenced by attention, and direction-selective aMAE could arise because previous motion or perceived static location (Ehrenstein 1994) acts as an invalid cue (Stanley and Mathews 2003), leading to a response bias (Grantham 1989; Neelon and Jenison 2004). The contrast between electrical neuroimaging responses to the stationary probe between the Leftwards and Rightwards conditions revealed no significant GFP or topographic modulations, even when only the AEPs associated with a direction-selective aMAE were included. Thus, although negative result should be interpreted with caution (see below), if the direction-selective aMAE was due to adaptation of direction-selective motion-detectors, it would have been reflected in a difference in scalp topography between the Leftwards and Rightwards conditions during our time period of interest.

Higher-order processes, such as those supporting a putative third-order motion process, are likely to have manifested at latency later than 600 ms, because they result in motion that is similar to imagined visual motion (Boucher et al. 2004), which does not necessarily begin at stimulus onset.

There are possible alternative explanations for the negative AEP result; for example, the EEG method may not be sufficiently sensitive. To assess this, the current EEG findings should be compared with other methods which may be more sensitive, such as single-unit recordings, although the authors are not aware of single-unit studies of the aMAE. It is possible that there was a difference in the stationary-probe brain response between Leftwards and Rightwards conditions (that is, a “direction-selective” effect) which was not revealed in this study due to lack of sensitivity.

It should be noted, however, that the fact we found a significant modulation of GFP in the Bidirectional vs Stationary comparison suggests that the current study was sufficiently powered, and that any missed effect would likely be of very small magnitude. In agreement with this, single-unit recordings have shown that mammalian neurons which respond selectively to the direction of ITD change are very rare or absent (Altman et al. 1968, 1970; Kuwada et al. 1979; Yin and Kuwada 1983; Spitzer and Semple 1993; Scott et al. 2009). This is in stark contrast to the visual system where the majority of neurons responding to visual motion have been shown to be highly direction-selective (Maunsell and van Essen 1983; Albright 1984; Weliky et al. 1996). Although
caution must be taken in inferring the functional significance of the small/absent direction-selective
neurophysiological effect in the auditory system, the current data appear to be consistent with the
hypothesis that motion processing is fundamentally different between visual and auditory
modalities.

Another alternative explanation for the negative AEP result in the Leftwards vs. Rightwards
comparison is the exclusive use of interaural temporal delays (ITDs; see Methods). In natural
environments ITDs, interaural level differences (ILDs) as well as spectral cues (head-related
transfer functions, Doppler effect) are available for auditory motion processing (e.g. Neelon and
Jenison, 2003; for review, see Blauert, 1997), and realistic acoustic motion cues have been shown
to enhance both behavioral and electrical brain responses (Getzmann and Lewald 2010). Sound
motion in natural environments would be expected to activate not only “specialized” direction-
selective motion detectors, but also “non-motion-specialized” neurons which respond to changes in
sound level or frequency independent of motion. For example, due to asymmetries in the
ascending auditory pathway (contralateral vs ipsilateral projections), ILDs would be expected to
result in “direction-selective” responses in cortical neurons which are sensitive to sound level. In
order to avoid such confounds introduced by level and frequency changes, only ITD was used in
the current study. Studies which found direction-selective responses with free-field stimuli (Sovijärvi
& Hyvärinen 1974; Ahissar et al. 1992), head-related transfer functions (Alink et al. 2012), or
interaural level difference cues (Stumpf et al. 1992; Toronchuk et al. 1992; Mäkäla and McEvoy
1996) may not have been able to distinguish motion-specialized from non-specialized activity.
Comparison with previous studies suggests that it is unlikely that the current negative results are
simply due to the use of ITD-only motion cues. Firstly, ITD is the most important cue for horizontal
localization of sounds which contain energy below about 1.5 kHz; (see Methods; Wightman and
Kistler 1992), and the behavioral data replicated the findings found with free-field stimuli (e.g.
Grantham and Wightman 1979). Secondly, the direction-selective motion-detectors which underlie
the visual MAE show the same direction-selectivity for physically different visual motion cues (for
review, see e.g. Mather et al. 1998). If similar direction-selective motion detectors were present in
the auditory system, they would be expected to respond to perceptually salient motion induced by
ITD cues. Thirdly, lesion studies with free-field stimuli have failed to find evidence for specialized
direction-selective auditory motion detectors in mammalian cortex (Schreiner et al. 2012).

In addition to a behavioral direction-sensitive aMAE, we found a motion-sensitive aMAE: Moving probes were perceived as having a larger range of motion in the Stationary than the Bidirectional condition. The aMAEs are unlikely to be due to variations in eye position, which has been shown to influence auditory spatial processing (Lewald and Ehrenstein 1996), because there was no significant difference in mean eye position between the adaptor conditions (see Methods).

The AEPs to the stationary-probe in the Bidirectional and Stationary adaptor conditions differed in GFP, but not topography, indicating that the two conditions differed in the level of activity within statistically indistinguishable configurations of neural generators (Skrandies 2007; Koenig and Melie-García 2010). In interpreting this result it is important to not only consider the direction of adaptor sound motion, but also the spatial locations traversed by the adaptor and probe. Firstly, the ITD at the end of the Bidirectional adaptor (either -500 or +500 µs) was different from that at the end of the Stationary adaptor (0 µs) by 500 µs. However, it seems unlikely that this contributed to the measured difference in GFP since the corresponding final ITD between the Leftwards (-500 µs) and Rightwards (+500 µs) was even larger (1000 µs). Secondly, the overlap in static spatial location between the Stationary adaptor and the stationary probe could be interpreted as a limitation of the current study because adaptation of spatial-selective brain activity could explain why the GFP was lower following the Stationary than the Bidirectional adaptor, without needing to refer to an adaptation of motion-sensitive neural populations. Future studies could explore the effect of reducing this limitation by using a more spatially “diffuse” stationary probe (with lower interaural correlation) or stationary adaptor with non-fixed location (Getzmann and Lewald 2011), although these solutions may introduce other confounds related to the cortical processing of “diffuse” sounds (e.g. Chait et al. 2005). Importantly, even if some of the GFP-difference is due to spatial selectivity (to static location), that does not rule out the presence of motion-sensitivity because the presence of spatially-selective responses is typical for auditory motion-sensitive neurons in the inferior colliculus (Spitzer and Semple 1993; McAlpine et al., 2000) and auditory cortex (Malone et al., 2002; Scott et al., 2009; see also Getzmann and Lewald 2011). Moreover, the magnitude of the GFP-difference was correlated with the size of the motion-sensitive aMAE, further supporting that the GFP modulation is a neural correlate of the motion-sensitive aMAE.
The latency of the GFP-difference found in the current study (200 ms post-stimulus onset) corroborates the model of Ducommun et al. (2002) on processing of actual auditory motion. They compared AEPs in lateralization (static location) and motion discrimination tasks. Importantly, they found that the topography did not differ in the first 250 ms and suggested that “early auditory motion processing is distinguished by amplitude modulations of the AEP”. The GFP-difference latency found in the current study overlaps with processing phases sensitive to the onset of motion in an otherwise continuous sound (motion-onset response, MOR). The magnitude of the MOR around 200 ms post-motion onset has been shown to be related to the velocity of motion (Getzmann 2009), the history of previous motion (Grzeschik et al. 2010), whether motion is towards or away from the midline (Getzmann 2011; see also Magezi and Krumbholz 2010), and attentional state (Kreitewolf et al. 2011). Although it appears that there was a significant difference in topography at 125 ms (GMD, Fig. 4E), which preceded the difference in GFP, the GMD result was not of significant duration (see Methods) and thus cannot be interpreted as a genuine effect.

Source estimations revealed that the difference in GFP was due to a modulation of the activity in predominantly right-lateralized fronto-temporal-parietal cortices and insula, which have previously been shown to be sensitive to auditory motion in human neuroimaging (Baumgart et al. 1999; Bremmer et al. 2001; Ducommun et al. 2002; Griffiths et al. 1994,1998, 2000; Lewis et al. 2000; Pavani et al. 2002; Warren et al. 2002; Xiang et al. 2002; Krumbholz et al. 2005; Poirier et al. 2005; Zimmer & Macaluso 2009; Getzmann 2011) and lesion studies (Griffiths et al. 1996, 1997, Ducommun et al. 2004; Lewald et al. 2009). One limitation of these previous studies, however, is the use of actually moving auditory stimuli, which would necessarily activate neural populations sensitive to different (static) spatial locations (Smith et al. 2004; Smith et al. 2007). The current study circumvents this caveat by contrasting the AEPs to identical stationary probes and thus provides strong evidence for a neural correlate of auditory motion-perception, in the absence of changing spatial location or any other confound induced by the contrast between physically differing stimuli. The source estimates in the current study suggest that predominantly frontal and parietal areas are involved in this auditory motion perception. However, in contrast to many of the previous studies which compared brain responses to actually moving with static auditory stimuli (e.g. Warren et al. 2002; Krumbholz et al. 2005), there was very little significant modulation of
activity within the posterior superior temporal gyrus (pSTG) or planum temporale (PT). Although caution must be taken in comparing across studies which differed in stimuli, tasks and physiological measures, the current result would suggest that activity in the pSTG/PT is most strongly related to the physical characteristics of the stimulus, whereas the frontal and parietal activity is more strongly related to the percept of auditory motion. In support of this, in many of the studies which showed inferior frontal activation in response to auditory motion, participants performed a task which required attending to the spatial attributes of the sounds (Ducommun et al. 2002; Warren et al. 2002; Poirier et al. 2005; see however, Getzmann 2011), while in many of the studies where no inferior frontal activation was reported, participants did not perform an auditory task (Bremmer et al. 2001; Griffiths et al. 1994, 1998; Pavani et al. 2002; Krumholz et al. 2005; see however; Zimmer and Macaluso, 2009). These observations would be consistent with the proposal that auditory spatial processing in the primate cortex takes place along a dorsal “where” stream which involves projections from the pSTG/PT to the parietal cortex, and from parietal cortex to frontal areas (Rauschecker and Tian 2000; for review, see Rauscheker and Romanski 2011).

Understanding the neural basis of the aMAEs has critical implications for our understanding of auditory motion-processing in humans. The current study reveals that auditory motion perception is based neither on a direction-selective motion-detector mechanism nor on a purely serial “snap-shot” analysis of static location (Grantham 1997). Instead, auditory motion perception relies on motion-sensitive neural populations, which, in sharp contrast to vision, are not direction selective.
Acknowledgements:

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Figure Captions

**Figure 1.** Schematic representation of neural tuning curves according to direction-selective (left panels: A,C,E,G) and motion-sensitive models (right: B,D,F,H). For each adaptor condition, relative spike rates are shown as a function of interaural time difference (ITD). Red and blue curves represent neural populations maximally tuned to left and right hemispace, respectively. Dashed and solid lines show activity before and after adaptation, respectively (see Introduction). Arrows indicate the maximum expected activity for each population in response to stationary (A-D), or moving probes (E-H).

**Figure 2.** Experimental paradigm. Each trial comprised of a 3,000-ms adaptor followed, after 800 ms, by a probe (Table 3). The adaptor comprised four sections (Table 2), each of 750 ms duration. Participants had up to 2,000 ms to respond (RT), after which the next trial would commence following an inter-trial interval of 750 ms.

**Figure 3.** Behavioural responses in the four adaptor conditions. A: Direction-selectivity indices. Data for stationary probes are shown as box-and-whisker plots, while vertical dotted lines near -1 and +1 show the median (across conditions) for the leftwards and rightwards moving probes, respectively. A direction-selective aMAE was present (see Results). B: Motion-sensitivity indices. Data for moving probes are shown as box-and-whisker plots, while the vertical dotted line shows the median for the stationary probes. There was a motion-sensitive aMAE. The boxes are composed of lines at the lower quartile, median, and upper quartiles values, whiskers represent 1.5 times the h-spread (range of scores between the hinges), and outliers are shown as asterisks.

**Figure 4.** Electrical brain responses. A: Grand-average auditory evoked potentials (AEPs) to stationary probes at the vertex (Cz) shown for the four adaptor conditions. B-C: Global field analyses for Leftwards and Rightwards conditions. AEPs were compared to test for modulation in global field power (B) or scalp topography (global map dissimilarity (GMD), C). The thin blue lines show the results when only trials with a direction-selective aMAE were included. D-E: Global field analyses for the comparison of Bidirectional and Stationary conditions. There was a significant
GFP difference from 188 to 211 ms (D). The inset in D shows the scalp distribution of difference between Bidirectional and Stationary conditions during this time period (188 and 211 ms). F: The mean activity over the period of significant GFP difference in D shows larger GFP in the Bidirectional than the Stationary condition. G: Within-participant difference in GFP (Stationary – Bidirectional) was correlated with the magnitude of the motion-sensitive aMAE (Stationary – Bidirectional motion sensitivity index). H: Source estimates (see Methods) for the GFP difference shown in neurological convention (right cerebral hemisphere is displayed on the right). Stimulus onset is represented by vertical dotted line at 0 ms in A-E. Stat: Stationary condition; Bid: Bidirectional condition; motion-sens. ind.: motion-sensitivity index.
Table 1. Qualitative predictions of three different models of auditory motion perception (see Fig. 1 and Introduction).

<table>
<thead>
<tr>
<th>Model</th>
<th>Lw. vs Rw.</th>
<th>Bid. vs Stat.</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Strength</td>
<td>Configuration</td>
</tr>
<tr>
<td>Direction-selective</td>
<td>Same</td>
<td>Different</td>
</tr>
<tr>
<td>Snapshot</td>
<td>Same</td>
<td>Same</td>
</tr>
<tr>
<td>Motion-sensitive</td>
<td>Same</td>
<td>Same</td>
</tr>
</tbody>
</table>

*Lw:* Leftwards; *Rw:* Rightwards; *Bid.:* Bidirectional; *Stat.:* Stationary
Table 2. Adaptor sounds. Trajectory of motion during each section (see Fig. 1A), and number of trials presented.

<table>
<thead>
<tr>
<th>Adaptor</th>
<th>Section</th>
<th># trials</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>1</td>
<td>2</td>
</tr>
<tr>
<td>Leftwards</td>
<td>←</td>
<td>←</td>
</tr>
<tr>
<td>Rightwards</td>
<td>→</td>
<td>→</td>
</tr>
<tr>
<td>Bidirectional</td>
<td>←</td>
<td>→</td>
</tr>
<tr>
<td>Bidirectional</td>
<td>→</td>
<td>←</td>
</tr>
<tr>
<td>Stationary</td>
<td>•</td>
<td>•</td>
</tr>
</tbody>
</table>

Auditory adaptors: ←: 500 to –500 µs; →: -500 to 500 µs; •: 0 µs
Table 3. Probe sounds. Motion trajectory and probability of presentation.

<table>
<thead>
<tr>
<th>Probe direction</th>
<th>Start ITD (µs)</th>
<th>End ITD (µs)</th>
<th>Probability (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>midline-to-left</td>
<td>0</td>
<td>-150</td>
<td>5</td>
</tr>
<tr>
<td>left-to-midline</td>
<td>-150</td>
<td>0</td>
<td>5</td>
</tr>
<tr>
<td>midline-to-right</td>
<td>0</td>
<td>150</td>
<td>5</td>
</tr>
<tr>
<td>right-to-midline</td>
<td>150</td>
<td>0</td>
<td>5</td>
</tr>
<tr>
<td>stationary*</td>
<td>0</td>
<td>0</td>
<td>80</td>
</tr>
</tbody>
</table>

*Auditory evoked potentials (AEPs) were only analyzed for the stationary probe*